# SYMMETRY BREAKING AND LINK HOMOLOGIES I

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ABSTRACT. Given a compact connected Lie group G endowed with root datum, and an element w in the corresponding Artin braid group for G, we describe a filtered G-equivariant stable homotopy type, up to a notion of quasi-equivalence. We call this homotopy type *Strict Broken Symmetries,*  $s\mathscr{B}(w)$ . As the name suggests,  $s\mathscr{B}(w)$  is constructed from the stack of principal G-connections on a circle, whose holonomy is broken between consecutive sectors in a manner prescribed by a presentation of w. We show that  $s\mathscr{B}(w)$  is independent of the choice of presentation of w, and also satisfies Markov type properties. Specializing to the case of the unitary group G = U(r), these properties imply that  $s\mathscr{B}(w)$  is an invariant of the link *L* obtained by closing the *r*-stranded braid *w*. As such, we denote it by  $s\mathscr{B}(L)$ . In the follow up to this article [7], we will show that the construction of strict broken symmetries allows us to incorporate twistings. Under suitable conditions, U(r)-equivariant (twisted) cohomology theories  $E_{U(r)}$  applied to  $s\mathscr{B}(L)$  give rise to a spectral sequence of link invariants converging to  $E^*_{U(r)}(s\mathscr{B}_{\infty}(L))$ , where  $s\mathscr{B}_{\infty}(L)$  is the direct limit of the filtration. For instance, in [7] we describe Triply-graded link homology as the  $E_2$ -term in the spectral sequence one obtains on applying Borel-equivariant singular cohomology  $H_{U(r)}$  to  $s\mathscr{B}(L)$ . We also study a universal twist of  $H_{U(r)}$ . Here one recovers sl(n)-link homologies for any value of *n* (depending on the choice of specialization of the universal twist).

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## 1. INTRODUCTION

The main result of this article is the construction of a filtered U(r)-equivariant stable homotopy type  $s\mathscr{B}(L)$  for links L that can be described as the closure of r-stranded braids, namely, elements of the braid group Br(r). We call this spectrum the spectrum of *strict broken symmetries* because it is built from the stack of principal U(r)-connections on a circle with prescribed reductions of the structure group to the maximal torus at various points on the circle. Even though we have invoked the category of equivariant spectra, for links L that can be expressed as the closure of a positive braid, our spectrum  $s\mathscr{B}(L)$  can be described entirely by the geometry of an underlying U(r)-equivariant *space* of strict broken symmetries.

For the convenience of non-experts, all the results in the introduction will be formulated for links given by the closure of a positive braid, with the general result for arbitrary braids described in later sections.

We also point out that several results in this article will be shown to hold for arbitrary compact connected Lie groups G. We have chosen to highlight the case G = U(r) in the introduction because of the natural interpretation of our results in terms of link homology. Broadly speaking, the interpretation of our homotopy type in terms of Khovanov-Rozansky homology as constructed in [15] can be described as follows. Details can be found in [7].

Given a link *L* that is expressed by the closure of a braid diagram, Khovanov-Rozansky homology of *L* is traditionally described by first constructing a triply-graded complex  $\mathscr{C}(L)$  with three commuting differentials  $d_+, d_-, d_v$ . The two differentials  $d_+$  and  $d_-$  are known as the "Matrix Factorization" differentials, and the remaining differential  $d_v$  is known as the "cubical" or "resolution of crossings" differential. With the above setup, the Khovanov-Rozansky triply graded homology of the link *L* is defined as the successive homology  $H(H(\mathscr{C}(L), d_+), d_v)$ . Similarly, the Khovanov-Rozansky sl(n)-link homology of *L* is defined as the successive homology  $H(H(\mathscr{C}(L), d_+), d_v)$ . Similarly, the Khovanov-Rozansky sl(n)-link homology of the differential  $d_-$  depends on *n*.

Ignoring gradings for now, we may recover the above algebraic framework using our homotopy type  $s\mathscr{B}(L)$  as follows. The filtration on  $s\mathscr{B}(L)$  gives rise to an associated graded equivariant homotopy type  $\operatorname{Gr}(s\mathscr{B}(L))$  (see section 3). In [7] we prove that the equivariant singular cohomology of  $\operatorname{Gr}(s\mathscr{B}(L))$  is isomorphic to  $\operatorname{H}(\mathscr{C}(L), d_+)$ . Furthermore, by virtue of being an associated graded object,  $\operatorname{Gr}(s\mathscr{B}(L))$  admits a differential  $\partial$  in the homotopy category. The induced differential  $\partial^*$  on  $\operatorname{H}^*_{\operatorname{U}(r)}(\operatorname{Gr}(s\mathscr{B}(L)))$  can be identified with  $d_v$ . This allows us to recover Khovanov-Rozansky triply graded link homology of L in terms of the equivariant singular cohomology of  $s\mathscr{B}(L)$ .

A final piece of topological structure we will describe in [7] is a "derived local system" on  $s\mathscr{B}(L)$  which allows us to define a version of twisted equivariant singular cohomology. This twisting gives rise to a differential on the equivariant cohomology of  $s\mathscr{B}(L)$  which we conjecture in [7] to be the differential  $d_{-}$  in terms of the above identification. This conjecture has recently been proven by T. Mejía Gomez [6], thereby showing how our homotopy type  $s\mathscr{B}(L)$  can also be used to recover Khovanov-Rozansky sl(n)-link homology in terms of the twisted equivariant cohomology of  $s\mathscr{B}(L)$ .

The above discussion hopefully motivates why one is justified in thinking of  $s\mathscr{B}(L)$  as an equivariant stable homotopy type for links. Even though we have described the evaluation of  $s\mathscr{B}(L)$  on equivariant singular cohomology,  $s\mathscr{B}(L)$  can be evaluated under arbitrary equivariant cohomology theories potentially giving rise to other interesting link homologies. In addition, the derived local system alluded to above is well know to twist many interesting equivariant cohomology theories (for instance equivariant K-theory). This is discussed further in [7] and remains an active direction of our research.

Before we proceed, let us say a few words about the category of *G*-spectra that will be used in this article. The main results of this article can be understood with very little background on equivariant spectra. It is helpful to bear in mind that *G*-spectra may be seen as a natural localization of the category of *G*-spaces where one is allowed to desuspend by arbitrary finite dimensional *G*-representations. As with *G*-spaces, one may evaluate *G*-spectra on *G*-equivariant cohomology theories. Given a subgroup H < G, one has restriction and induction functors defined respectively by considering a *G*-spectrum as an *H*-spectrum, or by inducing up an *H*-spectra to *G*-spectra is left adjoint to restriction. For those somewhat familiar with the language, by an equivariant *G*-spectrum we mean an equivariant spectrum indexed on a complete *G*-universe [12].

The spectra we study in this article are filtered by a finite increasing filtration  $F_tX$ . The associated graded object  $Gr_t(X)$  of such a spectrum has a natural structure of a chain complex in the homotopy category of *G*-spectra. In particular, one may define an *acyclic filtered G-spectrum X* so that the associated graded object  $Gr_r(X)$  admits stable null homotopies. The notion of acyclicity allows us to define a notion of *quasi-equivalence* on our category of filtered *G*-spectra by demanding that two filtered *G*-spectra are equivalent if they are connected by a zig-zag of maps each of whose fiber (or cofiber) is acyclic.

Returning to the main application of this article, we show that a braid w on r-strands gives rise to a filtered equivariant U(r)-spectrum of strict broken symmetries, denoted by  $s\mathscr{B}(w)$ , which is well defined up to quasi-equivalence. Before we get to the definition of strict broken symmetries, let us first offer a geometric description of the U(r)-spectrum of broken symmetries. Consider a braid element  $w \in Br(r)$ , where Br(r) stands for the braid group on r-strands. For the sake of exposition, consider the case of a positive braid, i.e. one that can be expressed in terms of positive exponents of the elementary braids  $\sigma_i$  for i < r. Let  $I = \{i_1, i_2, \ldots, i_k\}$  denote an indexing sequence with  $i_j < r$ , so that a positive braid w admits a presentation in terms of the fundamental generators of the braid group Br(r),  $w = w_I := \sigma_{i_1}\sigma_{i_1}\ldots\sigma_{i_k}$ . Let T, or  $T^r$  (if we need to specify rank), be the standard maximal torus, and let  $G_i$  denote the unitary (block-diagonal) form in the reductive Levi subgroup having roots  $\pm \alpha_i$ . We consider  $G_i$  as a two-sided T-space under the left (resp. right) multiplication.

The equivariant U(r)-spectrum of broken symmetries is defined as the (suspension) spectrum corresponding to the U(r)-space  $\mathscr{B}(w_I)$  that is induced up from a *T*-space  $\mathscr{B}_T(w_I)$ 

$$\mathscr{B}(w_I) := \mathrm{U}(r) \times_T (G_{i_1} \times_T G_{i_2} \times_T \cdots \times_T G_{i_k}) = \mathrm{U}(r) \times_T \mathscr{B}_T(w_I),$$

with the *T*-action on  $\mathscr{B}_T(w_I) := (G_{i_1} \times_T G_{i_2} \times_T \cdots \times_T G_{i_k})$  given by conjugation

$$t[(g_1, g_2, \cdots, g_{k-1}, g_k)] := [(tg_1, g_2, \cdots, g_{k-1}, g_k t^{-1})].$$

As mentioned above, the U(r)-stack U(r) ×<sub>T</sub> ( $G_{i_1} \times_T G_{i_2} \times_T \cdots \times_T G_{i_k}$ ) is equivalent to the stack of U(r)-connections on a circle with k marked points, with the structure group being reduced to T at the points, and symmetry being broken to  $G_i$  along the *i*-th sector.

One may heuristically relate the connections to links in the following way. Assume the existence of a U(r)-connection  $\nabla$  as above whose holonomy along any sector between successive points is a permutation matrix that belongs to the corresponding block-diagonal subgroup. Now consider the parallel transport under  $\nabla$  of the standard orthonormal frame in  $\mathbb{C}^r$ . Projecting this frame onto a generic line bundle  $\mathbb{C} \times S^1 \subseteq \mathbb{C}^r \times S^1$  gives rise to the associated (geometric) link  $L(\nabla)$  in the trivial line bundle ( $\mathbb{C} \times S^1 ) \subset \mathbb{R}^3$ . In this context, connections whose holonomy preserves the *T*-structure in any sector do not induce a braiding in that sector. In particular, to recover links with a prescribed braid presentation, one needs to factor out those connections whose holonomy preserves the *T*-structure in any sector. Homotopically, this factoring out of redundant connections is achieved by the construction of a *homotopy colimit* which we recall in detail in the Appendix. The resulting object is called the equivariant spectrum of *strict broken symmetries*.

# **Definition.** (*Strict broken symmetries and their normalization*)

Let *L* denote a link described by the closure of a positive braid  $w \in Br(r)$  with *r*-strands, and let  $w_I$  be a presentation of w as  $w = \sigma_{i_1} \dots \sigma_{i_k}$ . We first define the limiting U(r)-spectrum  $s\mathscr{B}_{\infty}(w_I)$  of strict broken symmetries as the space that fits into a cofiber sequence of U(r)-spaces:

 $\operatorname{hocolim}_{J\in\mathcal{I}}\mathscr{B}(w_J)\longrightarrow \mathscr{B}(w_I)\longrightarrow s\mathscr{B}_{\infty}(w_I).$ 

where  $\mathcal{I}$  is the category of all proper subsets of  $I = \{i_1, i_2, \dots, i_k\}$ .

The spectrum  $s\mathscr{B}_{\infty}(w_I)$  admits a natural increasing filtration by spaces  $F_t s\mathscr{B}(w_I)$  defined as the cofiber on restricting the above homotopy colimit to the full subcategories  $\mathcal{I}^t \subseteq \mathcal{I}$  generated by subsets of cardinality at least (k-t), so that the lowest filtration is given by  $F_0 s\mathscr{B}(w_I) = \mathscr{B}(w_I)$ .

Define the spectrum of strict broken symmetries  $s\mathscr{B}(w_I)$  to be the filtered spectrum  $F_t s\mathscr{B}(w_I)$  above. The normalized spectrum of strict broken symmetries of the link L is defined as

$$s\mathscr{B}(L) := \Sigma^{-2k} s\mathscr{B}(w_I).$$

In order for the normalized definition to make sense, one would require proving that the construction of  $s\mathscr{B}(L)$  is independent (up to quasi-equivalence) of the braid presentation  $w_I$  used to describe L. This comes down to checking the braid group relations, and the first and second Markov property. The first Markov property and the braid group relations are established in sections 4 and 5-6 resp. Results of these sections in fact admit a generalization to any compact connected Lie group G, and we work with that generality in the first six sections. However, as mentioned earlier, we have chosen to highlight the case G = U(r) in this introduction.

The second Markov property imposes a stability condition on the construction, requiring that it be invariant under the augmentation of w by the elementary braid  $\sigma_r$  (or its inverse) so as to be seen as a braid in Br(r+1). This is equivalent to the observation that the link L is unchanged on adding an extra strand that is braided with the previous one. In proving invariance under the second Markov property, we encounter a subtle point. Notice that  $s\mathscr{B}(L)$  is induced up from a  $T^r$ -spectrum we shall denote by  $s\mathscr{B}_{T^r}(L)$ . Proving invariance

under the second Markov property would therefore require showing that the U(r + 1)spectrum obtained by considering L as the closure of  $w\sigma_r^{\pm}$  is induced from  $s\mathscr{B}_{T^r}(L)$  along
the standard inclusion  $T^r < U(r + 1)$ . This requirement is *almost true* but for a small
subtlety. We show in section 7 that when L is seen as the closure of the (r + 1)-stranded
braid  $w\sigma_r^{\pm}$ , the corresponding U(r+1)-spectrum,  $s\mathscr{B}(L)$  is induced up from  $s\mathscr{B}_{T^r}(L)$  along
a *different inclusion*  $\Delta_r : T^r \longrightarrow U(r+1)$ . This inclusion differs from the standard inclusion
in the last entry. We proceed to resolve this issue in section 7 by inducing up to a larger
group. The upshot is that  $s\mathscr{B}(L)$  is a link invariant up to a notion of quasi-equivalence.

**Theorem.** As a function of links L, the filtered U(r)-spectrum of strict broken symmetries  $s\mathscr{B}(L)$  is well-defined up to quasi-equivalence. In particular, the limiting equivariant stable homotopy type  $s\mathscr{B}_{\infty}(L)$  is a well-defined link invariant in U(r)-equivariant spectra (see remark 3.5). We discuss  $s\mathscr{B}_{\infty}(L)$  below.

In section 8 we show that the construction of the link invariant  $s\mathscr{B}(L)$  admits an internal symmetry given by the Galois action by complex conjugation on all Levi subgroups.

An obvious way to obtain (group valued) link invariants from the filtered homotopy type  $s\mathscr{B}(L)$  is to apply an equivariant cohomology theory and invoke the filtration to set up a spectral sequence. Let  $E_G$  denote a family of equivariant cohomology theories indexed by the collection G = U(r), with  $r \ge 1$ , and naturally compatible under restriction

$$E_{U(r)} \cong \iota^* E_{U(r+1)}, \text{ where } \iota : U(r) \longrightarrow U(r+1).$$

Therefore, given a family of equivariant cohomology theories  $E_{U(r)}$  as above that satisfies the conditions described in definition 8.8, the filtration of  $s\mathscr{B}(L)$  described above does indeed give rise to a spectral sequence that converges to  $E^*_{U(r)}(s\mathscr{B}_{\infty}(L))$ . The  $E_2$ -term of this spectral sequence is itself a link invariant, and is given by the cohomology of the associated graded complex for the filtration of  $s\mathscr{B}(L)$ . We have

**Theorem.** Assume that  $E_{U(r)}$  is a family of U(r)-equivariant cohomology theories as above that satisfy the conditions of definition 8.8. Then, given a link *L* described as a closure of a positive braid presentation  $w_I$  on *r*-strands, one has a spectral sequence converging to  $E^*_{U(r)}(s\mathscr{B}_{\infty}(L))$  and with  $E_1$ -term given by

$$E_1^{t,s} = \bigoplus_{J \in \mathcal{I}^t/\mathcal{I}^{t-1}} \mathcal{E}_{\mathcal{U}(r)}^s(\mathscr{B}(w_J)) \; \Rightarrow \; \mathcal{E}_{\mathcal{U}(r)}^{s+t-2k}(s\mathscr{B}_{\infty}(L)).$$

The differential  $d_1$  is the canonical simplicial differential induced by the functor described in definition 2.6. In addition, the terms  $E_q(L)$  are invariants of the link L for all  $q \ge 2$ .

The Galois symmetry mentioned above descends to an induced Galois symmetry of the link invariants  $E_q(L)$  for  $q \ge 2$ .

In [7], we will relate special cases of the above spectral sequence to various well-known link homology theories. The limiting spectrum  $s\mathscr{B}_{\infty}(L)$  can actually be described explicitly, and so we know exactly what the above spectral sequence converges to. More precisely, in section 8 we will prove a generalization of the following theorem for arbitrary compact connected Lie groups *G*, and for braid words that are not necessarily positive.

**Theorem.** Let  $I = \{i_1, \ldots, i_k\}$  be an indexing set so that  $w_I = \sigma_{i_1} \sigma_{i_2} \ldots \sigma_{i_k}$  is a braid word that closes to the link *L*. Let  $V_I$  denote the representation of *T* given by a sum of root spaces

$$V_I = \sum_{j \le k} w_{I_{j-1}}(\alpha_{i_j}), \quad \text{where} \quad w_{I_{j-1}} = \sigma_{i_1} \dots \sigma_{i_{j-1}}, \quad w_{I_0} = id_{i_0}$$

and where  $w_{I_{j-1}}(\alpha_{i_j})$  denotes the root space for the root given by the  $w_{I_{j-1}}$ -translate of the simple root  $\alpha_{i_j}$ . Then the U(r)-equivariant homotopy type of  $s\mathscr{B}_{\infty}(L)$  is given by the equivariant Thom space (suitably desuspended)

$$s\mathscr{B}_{\infty}(L) = \Sigma^{-2k} \operatorname{U}(r)_{+} \wedge_{T} (S^{V_{I}} \wedge T(w)_{+}),$$

where  $S^{V_I}$  denotes the one-point compactification of the *T*-representation  $V_I$ , and T(w) denotes the twisted conjugation action of *T* on itself

$$t(\lambda) := w^{-1}twt^{-1}\lambda$$
 where  $w = \sigma_{i_1}\dots\sigma_{i_k}, t \in T, \lambda \in T(w)$ 

**Remark.** Note that the structure group T of the above Thom spectrum can be reduced to a sub torus  $T^w \subseteq T$  that is fixed by the Weyl element w that underlies  $w_I$ . The torus  $T^w$  is isomorphic to a product of rank one tori indexed by the components of L. More precisely, the factor corresponding to a particular component of L is the diagonal in the standard sub torus of  $T^r$  indexed by the strands belonging to that particular compoment. Since the cohomology of  $s\mathscr{B}_{\infty}(L)$  (assuming Thom isomorphism) depends only on the number of components of L, we may think of  $s\mathscr{B}_{\infty}(L)$  as a stable lift of the invariant  $E_{\infty}(-1)$  (see Theorem 3 in [15]). Studying the rich internal structure of the spectrum  $s\mathscr{B}_{\infty}(L)$  is work in progress. If L has a presentation as the closure of a positive braid, then  $s\mathscr{B}_{\infty}(L)$  appears to be closely related to the Braid Varieties studied in [3].

Let us point out an important piece of structure that is relevant to our framework. Notice that each space of broken symmetries  $\mathscr{B}(w_I)$  admits a canonical map (given by composing the holonomies along the sectors) to the stack of principal connections on a circle, which is equivalent to the adjoint action of U(r)-action on itself

$$\rho_I : \mathscr{B}(w_I) \longrightarrow \mathrm{U}(r), \qquad [(g, g_{i_1}, \dots, g_{i_k})] \longmapsto g(g_{i_1} \dots g_{i_k})g^{-1}.$$

These maps  $\rho_I$  are clearly compatible under inclusions  $J \subseteq I$ . In particular, the spectra  $s\mathscr{B}(L)$  can be endowed with a U(r)-equivariant "local system" by pulling back U(r)-equivariant local systems on U(r). We will use this structure in [7] to twist the equivariant cohomology theories  $E_{U(r)}$  considered above and relate it to sl(n)-link homology.

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#### 2. (Strict) broken symmetries and the G-equivariant homotopy type

Let *G* be a compact connected Lie group of rank *r*, and semisimple rank  $r_s \leq r$ .<sup>1</sup> Let us fix a root datum, and let  $T \subset G$  denote the maximal torus acted upon by the Weyl group *W*. Let  $\sigma_i \in W$  for  $i \leq r_s$ , be the generators corresponding to the simple roots  $\alpha_i$ , where  $r_s \leq r$  is the semisimple rank of *G*. The Artin braid group for this root datum is a lift of the Coxeter presentation of *W*, and is defined by

$$Br(G) = \langle \sigma_i, 1 \leq i \leq r_s, \sigma_i \sigma_j \sigma_i \dots m_{ij} \text{ terms} = \sigma_j \sigma_i \sigma_j \dots m_{ij} \text{ terms} \rangle_{\mathcal{H}}$$

where the integers  $m_{ij}$  are determined by the entries of the Cartan matrix corresponding to the root datum. In the special case of G = U(r), the semisimple rank  $r_s$  is r - 1 and the braid group is the classical braid group Br(r) of braids with r strands.

In this section we will construct a *G*-equivariant filtered stable homotopy type called the *strict broken symmetries*. All our *G*-spectra are genuine, by which we mean they are indexed on the complete *G*-universe. We first begin by defining the equivariant *G*-spectrum of broken symmetries given a presentation of an element  $w \in Br(G)$ . Broken symmetries will then be assembled to construct strict broken symmetries. We begin with the definitions for positive braids.

# **Definition 2.1.** (Broken symmetries: Positive braids)

Let  $I = \{i_1, i_2, ..., i_k\}$  denote an indexing sequence with  $i_j \leq r_s$ , so that a positive braid w admits a presentation in terms of the fundamental generators of Br(G),  $w = w_I := \sigma_{i_1} \sigma_{i_1} \dots \sigma_{i_k}$ . Let  $G_i$ denote the unitary form in the reductive Levi subgroup having roots  $\pm \alpha_i$ . We consider  $G_i$  as a two-sided T-space under the canonical left(resp. right) multiplication.

Define the equivariant G-spectrum of broken symmetries to be the suspension spectrum of

$$\mathscr{B}(w_I) := G \times_T (G_{i_1} \times_T G_{i_2} \times_T \cdots \times_T G_{i_k}) = G \times_T \mathscr{B}_T(w_I),$$

where the T-action on  $\mathscr{B}_T(w_I) := (G_{i_1} \times_T G_{i_2} \times_T \cdots \times_T G_{i_k})$  is given by endpoint conjugation

$$t[(g_1, g_2, \cdots, g_{k-1}, g_k)] := [(tg_1, g_2, \cdots, g_{k-1}, g_k t^{-1})].$$

**Remark 2.2.** As was already mentioned in the introduction, let us point out again that the G-stack  $G \times_T (G_{i_1} \times_T G_{i_2} \times_T \cdots \times_T G_{i_k})$  is equivalent to the stack of principal G-connections on the trivial G-bundle over  $S^1$ , endowed with a reduction of the structure group to T at k distinct marked points, and with the property that the holonomy along the *i*-th sector belongs to the subgroup  $G_i$  in terms of this reduction. The stack of strict broken symmetries, which we will encounter later, should be interpreted as the stack obtained from broken symmetries by factoring out those connections whose holonomy preserves the T-structure in some sector.

Let us now address the matter of braid elements w that admit a presentation with negative exponents. Let  $\zeta_i$  denote the virtual  $G_i$  representation  $(\mathfrak{g}_i - r\mathbb{R})$ , where  $\mathfrak{g}_i$  is the adjoint representation of  $G_i$ , and  $r\mathbb{R}$  is the trivial representation of dimension r (rank of G). Notice that the restriction of  $\zeta_i$  to T is isomorphic to the root space representation  $\alpha_i$  (as a real representation).

<sup>&</sup>lt;sup>1</sup>In fact, all results in the first six sections of this paper hold for *G* being the unitary form of an arbitrary symmetrizable Kac-Moody group.

### **Definition 2.3.** (*The dual Adjoint sphere spectrum*)

Let  $S^{-\zeta_i}$  denote the sphere spectrum for the virtual real  $G_i$  representation  $-\zeta_i$ . In what follows, we may pick any model for this sphere. For instance, one may choose to define  $S^{-\zeta_i}$  to be the dual of  $\Sigma^{-r}S^{\mathfrak{g}_i}$ , and denote the left  $G_i$  action on it by  $Ad(g)_*$ 

$$S^{-\zeta_i} := \operatorname{Map}(S^{\mathfrak{g}_i}, S^r), \quad Ad(g)_* \varphi := g \circ \varphi \circ Ad(g^{-1}), \quad \varphi \in \operatorname{Map}(S^{\mathfrak{g}_i}, S^r).$$

Definition 2.4. (Broken symmetries: Arbitrary braids)

Consider the more general indexing sequence expressed as  $I := \{\epsilon_{i_1}i_1, \dots, \epsilon_{i_k}i_k\}$ , where  $i_j \leq r_s$  as before, and  $\epsilon_j = \pm 1$ . Assume that  $w = w_I := \sigma_{i_1}^{\epsilon_{i_1}} \cdots \sigma_{i_k}^{\epsilon_{i_k}}$ . We define the equivariant *G*-spectrum

$$\mathscr{B}(w_I) := G_+ \wedge_T \mathscr{B}_T(w_I), \text{ where }$$

$$\mathscr{B}_T(w_I) := H_{i_1} \wedge_T \ldots \wedge_T H_{i_k}$$
, and  $H_i = S^{-\zeta_i} \wedge G_{i+}$ , if  $\epsilon_i = -1$ ,  $H_i = G_{i+}$  else.

The  $T \times T$ -action on  $H_i$  is defined by demanding that an element  $(t_1, t_2) \in T \times T$  acts on  $S^{-\zeta_i} \wedge G_{i+}$ by smashing the action  $Ad(t_1)_*$  on  $S^{-\zeta_i}$  with the standard  $T \times T$  action on  $G_{i+}$  given by left (resp. right) multiplication. As before the T-action on  $H_{i_1+} \wedge_T H_{i_2+} \wedge_T \ldots \wedge_T H_{i_k}$  is by conjugation on the first and last factor. Notice that  $\mathscr{B}(w_I)$  is an equivariant Thom spectrum over the stack of broken symmetries  $G \times_T (G_{i_1} \times_T \cdots \times_T G_{i_k})$ .

Our eventual goal is to study the naturality properties of the construction  $\mathscr{B}(w_I)$  in terms of subwords. In order to study this, we will require to make certain constructions known as Pontrjagin-Thom constructions that require studying tubular neighborhoods. In order to do so, let us fix a *G*-binvariant metric on *G*.

**Claim 2.5.** The Pontrjagin-Thom construction along  $T \subset G_i$  induces a canonical map of equivariant  $T \times T$ -spectra

 $\pi_i: S^{-\zeta_i} \land G_{i+} \longrightarrow T_+,$ 

where the  $T \times T$ -action on T is induced by left (rep. right) group multiplication.

*Proof.* Let us describe the construction of the Pontrjagin-Thom construction along  $T \subset G_i$  in some detail. First notice that the normal bundle of T in  $G_i$  is canonically trivial (using the right T-translation of the complement of the Lie algebra of T, which we have denoted by  $\zeta_i$ . The conjugation action of T on this normal bundle can therefore be identified with the standard root-space action of T on  $\zeta_i$ . Performing the Pontrjagin-Thom construction amounts to collapsing a complement of a epsilon neighborhood of T (for some small epsilon fixed throughout). Doing so gives rise to the map

$$\pi_i: S^{-\zeta_i} \land G_{i+} \longrightarrow S^{-\zeta_i} \land S^{\zeta_i} \land T_+.$$

We would like to identify  $S^{-\zeta_i} \wedge S^{\zeta_i}$  with  $S^0$  so as to identify the codomain with  $T_+$ . This is clearly the case non-equivariantly but we must verify the required equivariance. Recall the action of  $(t_1, t_2) \in T \times T$ -action on  $S^{-\zeta_i} \wedge G_{i+}$  defined by smashing the action  $Ad(t_1)_*$ on  $S^{-\zeta_i}$  with the standard  $T \times T$  action on  $G_{i+}$  given by left (resp. right) multiplication. Notice that  $t_1g_it_2 = t_1g_it_1^{-1}t_1t_2 = (Ad(t_1)g_i)t_1t_2$ . In particular, performing the Pontrjagin-Thom construction on the inclusion  $T \subset G_i$  turns the  $T \times T$ -action on  $G_{i+}$  into the expected action on  $S^{\zeta_i} \wedge T_+$ . It follows that the  $T \times T$ -action on the product  $S^{-\zeta_i} \wedge S^{\zeta_i}$  is trivial, and amounts to group multiplication on the factor  $T_+$  as we require.

# **Definition 2.6.** (*The functor* $\mathscr{B}(w_J)$ )

Given a braid word  $w_I$ , for  $I = \{\epsilon_{i_1}i_1, \dots, \epsilon_{i_k}i_k\}$ , let  $2^I$  denote the set of all the subsets of I. Let us define a poset structure on  $2^I$  generated by demanding that nontrivial indecomposable morphisms  $J \to K$  have the form where either J is obtained from K by dropping an entry  $i_j \in K$  (i.e. an entry for which  $\epsilon_{i_j} = 1$ ), or that K is obtained from J by dropping an entry  $-i_j$  (i.e an entry for which  $\epsilon_{i_j} = -1$ ).

The construction  $\mathscr{B}(w_J)$  induces a functor from the category  $2^I$  to *G*-spectra. More precisely, given a nontrivial indecomposable morphism  $J \to K$  obtained by dropping  $-i_j$  from *J*, the induced map  $\mathscr{B}(w_J) \to \mathscr{B}(w_K)$  is obtained by applying the map  $\pi_{i_j}$  of claim 2.5 in the corresponding factor. Likewise, if *J* is obtained from *K* by dropping the factor  $i_j$ , then the map  $\mathscr{B}(w_J) \to \mathscr{B}(w_K)$  is defined as the canonical inclusion induced by the map  $T_+ \to G_{i_j+}$  in the corresponding factor.

For the functor  $\mathscr{B}(w_J)$  defined above, we will require the construction of a derived notion of a colimit over subcategories of  $2^I$ . This construction is known as the *homotopy colimit* (definition 10.1), which we take as a black-box construction for now, and review in the Appendix.

We now define the equivariant G-spectrum of strict broken symmetries as follows

## **Definition 2.7.** (*Limiting strict broken symmetries*)

let  $I^+ \subseteq I$  denote the terminal object of  $2^I$  given by dropping all terms  $-i_j$  from I (i.e. terms for which  $\epsilon_{i_j} = -1$ ). Define the poset category  $\mathcal{I}$  to the subcategory of  $2^I$  given by removing the terminal object  $I^+$ .

$$\mathcal{I} = \{J \in 2^I, \, J \neq I^+\}$$

*The equivariant G*-spectrum  $s\mathscr{B}_{\infty}(w_I)$  *is defined to be the cofiber of the canonical map:* 

$$\pi : \operatorname{hocolim}_{J \in \mathcal{I}} \mathscr{B}(w_J) \longrightarrow \mathscr{B}(w_{I^+}).$$

In other words, one has a cofiber sequence of equivariant G-spectra

$$\operatorname{hocolim}_{J\in\mathcal{I}}\mathscr{B}(w_J)\longrightarrow\mathscr{B}(w_{I^+})\longrightarrow s\mathscr{B}_{\infty}(w_I).$$

*Note that the above cofiber sequence can be induced from a cofiber sequence of*  $T \times T$ *-spectra.* 

# **Definition 2.8.** (*Filtration of strict broken symmetries via sub-posets* $\mathcal{I}^t \subseteq \mathcal{I}$ )

We endow  $s\mathscr{B}_{\infty}(w_I)$  with a natural filtration as *G*-spectra defined as follows. The lowest filtration is defined as

 $F_0 s \mathscr{B}(w_I) = \mathscr{B}(w_{I^+}), \text{ and } F_k s \mathscr{B}(w_I) = *, \text{ for } k < 0.$ 

Higher filtrations  $F_t$  for t > 0 are defined as the cone on the restriction of  $\pi$  to the subcategory  $\mathcal{I}^t \subseteq \mathcal{I}$  consisting of objects no more than t nontrivial composable morphisms away from  $I^+$ . In other words, the filtered spectrum of strict broken symmetries  $F_t s \mathscr{B}(w_I)$  is defined via the cofiber sequence

hocolim<sub> $J \in \mathcal{I}^t$ </sub>  $\mathscr{B}(w_J) \longrightarrow \mathscr{B}(w_{I^+}) \longrightarrow F_t \mathscr{B}(w_I).$ 

As before, note that  $F_t \, s \mathscr{B}(w_I) = G_+ \wedge_T F_t \, s \mathscr{B}_T(w_I)$ , with the filtered  $T \times T$ -spectrum  $F_t \, s \mathscr{B}_T(w_I)$ defined just as above. Since  $\mathcal{I}^t \subset \mathcal{I}^{t+1}$ , we obtain a canonical filtration of length k = |I|

$$\mathscr{B}(w_{I^+}) = F_0 \, \mathscr{B}(w_I) \to F_1 \, \mathscr{B}(w_I) \to F_2 \, \mathscr{B}(w_I) \cdots \to F_k \, \mathscr{B}(w_I) = \mathscr{B}_{\infty}(w_I).$$

**Remark 2.9.** The poset  $\mathcal{I}$  is an iterated join of the trivial one-element poset (see remark 10.2). It follows that  $\overline{\mathcal{I}}$  is a finite CW poset. It is now straightforward to see from theorem 10.3 that the associated graded of the filtration described above is given by the cofiber sequence

$$F_{t-1} s \mathscr{B}(w_I) \longrightarrow F_t s \mathscr{B}(w_I) \longrightarrow \bigvee_{J \in \mathcal{I}^t / \mathcal{I}^{t-1}} \Sigma^t \mathscr{B}(w_J).$$

For the purposes of the rest of the article, it is helpful to normalize definition 2.7.

**Definition 2.10.** (Normalized strict broken symmetries)

Given an element  $w \in Br(G)$ , we define the normalized *G*-spectrum of strict broken symmetries

$$s\mathscr{B}(w) := \Sigma^{l(w_I)} s\mathscr{B}(w_I)[\varrho_I],$$

where  $w_I$  is any presentation of w, and  $l(w_I)$  stands for the integer  $l_-(w_I) - 2l_+(w_I)$  with  $l_+(w_I)$ denoting the number of positive exponents and  $l_-(w_I)$  denoting the number of negative exponents in the presentation  $w_I$  for w in terms of the generators  $\sigma_i$ . Here  $s\mathscr{B}(w_I)[\varrho_I]$  denotes the shift in indexing given by  $F_t s\mathscr{B}(w_I)[\varrho_I] := F_{t+\varrho_I} s\mathscr{B}(w_I)$  where the integer  $\varrho_I$  that induces the shift is given by one-half the difference between the cardinality of the set I, |I|, and the minimal word length |w|, of  $w \in Br(G)$ 

$$\varrho_I = \frac{1}{2}(|I| - |w|).$$

The notation for the normalization given above depends only on  $w \in Br(G)$ , but makes no reference to the presentation of w. For this definition to make sense, one needs to verify that the filtered spectrum  $s\mathscr{B}(w)$  is independent of the presentation. That is in fact the case, but the proof of that fact will take up the next several sections

**Theorem 2.11.** Given a braid element  $w \in Br(G)$ , with two presentations  $w = w_I = w_{I'}$ , then the filtered G-spectra  $\Sigma^{l(w_I)} s \mathscr{B}(w_I)[\varrho_I]$  and  $\Sigma^{l(w_{I'})} s \mathscr{B}(w_{I'})[\varrho_{I'}]$  are quasi-equivalent, where quasi-equivalence is defined in definition 3.4.

Before we move ahead to the next section that describes the notion of equivalence we work with, let us actually study the underlying homotopy type of the top filtration given by  $\Sigma^{l(w_I)} s \mathscr{B}_{\infty}(w_I)$ . In fact, this homotopy type has a nice description in terms of a Thom spectrum. We have

**Theorem 2.12.** Given an indexing set  $I = {\epsilon_1 i_1, ..., \epsilon_k i_k}$ , Let  $V_I$  denote the vitrual representation of T given by a vitual sum of root spaces

$$V_I = \sum_{j \le k} \epsilon_j w_{I_{j-1}}(\alpha_{i_j}), \quad \text{where} \quad w_{I_{j-1}} = \sigma_{i_1} \dots \sigma_{i_{j-1}}, \quad w_{I_0} = id,$$

and where  $w_{I_{j-1}}(\alpha_{i_j})$  denotes the root space for the root given by the  $w_{I_{j-1}}$ -translate of the simple root  $\alpha_{i_j}$ . Let  $|V_I|$  denote the virtual dimension of  $V_I$ . Then the G-equivariant homotopy type of  $\Sigma^{l(w_I)} s \mathscr{B}_{\infty}(w_I)$  is given by the equivariant Thom spectrum

$$\Sigma^{l(w_I)} s \mathscr{B}_{\infty}(w_I) = \Sigma^{-|V_I|} G_+ \wedge_T (S^{V_I} \wedge T(w)_+),$$

where  $S^{V_I}$  denotes the sphere spectrum for the virtual *T*-representation  $V_I$ , and T(w) denotes the twisted conjugation action of *T* on itself

 $t(\lambda) := w^{-1}twt^{-1}\lambda$  where  $w = \sigma_{i_1}\dots\sigma_{i_k}, t \in T, \lambda \in T(w).$ 

*Proof.* Recall the definition of  $s\mathscr{B}_{\infty}(w_I)$  via the cofiber sequence

$$\operatorname{hocolim}_{J\in\mathcal{I}}\mathscr{B}(w_J)\longrightarrow\mathscr{B}(w_{I^+})\longrightarrow s\mathscr{B}_{\infty}(w_I),$$

where  $I^+$  is the terminal element of the set  $2^I$  as defined in 2.6, and  $\mathcal{I}$  denotes the subcategory of  $2^I$  consisting of all objects besides  $I^+$ . We may describe  $s\mathscr{B}_{\infty}(w_I)$  as a pushout

$$\mathscr{B}(w_{I^+}) \longleftarrow \operatorname{hocolim}_{J \in \mathcal{I}} \mathscr{B}(w_J) \longrightarrow *.$$

Alternatively,  $s\mathscr{B}_{\infty}(w_I)$  can be seen as a homotopy colimit of the canonical functor that extends  $\mathscr{B}(w_J)$  over the poset  $\Sigma \mathcal{I}$  given by the suspension of  $\mathcal{I}$  obtained by adjoining two new vertices  $\{0, \infty\}$  that admit morphisms from each object of  $\mathcal{I}$ . The poset  $\Sigma \mathcal{I}$  can be described as a quotient of the poset  $P^I$ , where P is the pushout category with three objects  $\{0, 1, \infty\}$ , and  $P^I$  is the k-fold product of P. We think of objects of  $P^I$  as functions on I with values in the object set of P and morphisms  $\varphi < \psi$  if  $\varphi(i) \leq \psi(i)$  for all  $i \in I$ . One recovers  $\Sigma \mathcal{I}$  by identifying all functions taking the value  $\infty$  on any element of I with a distinguished object (also called  $\infty$ ). An explicit identification of this quotient with  $\Sigma \mathcal{I}$  is given by defining the function  $\varphi \in P^I$  corresponding to the subset  $J = \{\epsilon_{i_{j_1}i_{j_1}, \ldots \epsilon_{i_{j_s}}i_{j_s}\} \subseteq I$  as  $\varphi(\epsilon_s i_s) = 1$  if  $\epsilon_s i_s \in J$  and  $\epsilon_s < 0$  or  $\epsilon_s i_s \notin J$  and  $\epsilon_s > 0$ . Define  $\varphi(\epsilon_s i_s) = 0$  otherwise. In particular, the maximal subset  $I^+$  represents the unique function taking the value 0 on all elements of I. Now recall that the spectrum  $\mathscr{B}(w_J)$ 

$$\mathscr{B}(w_J) := G_+ \wedge_T \mathscr{B}_T(w_J), \text{ where }$$

$$\mathscr{B}_T(w_J) := H_{i_{j_1}} \wedge_T \ldots \wedge_T H_{i_{j_s}}, \text{ and } H_i = S^{-\zeta_i} \wedge G_{i_+}, \text{ if } \epsilon_i = -1, H_i = G_{i_+} \text{ else.}$$

Since  $P^{I}$  is a product of pushout categories, and the functor describing  $s\mathscr{B}_{\infty}(w_{I})$  decomposes as a smash product of functors, we may express the homotopy colimit as

$$s\mathscr{B}_{\infty}(w_I) = G_+ \wedge_T P(H_{i_1}) \wedge_T \ldots \wedge_T P(H_{i_k}),$$

where  $P(H_i)$  are the pushout diagrams constructed from the elementary morphisms described in definition 2.6. More precisely, if  $\epsilon_i = -1$ , then we have

Since  $S^{\zeta_i} \wedge T_+$  is obtained from  $G_i$  by pinching out the  $T \times T$ -subspace given by the left coset  $\sigma_i T$  (see proof of claim 2.5), the above pushout is equivalent to the spectrum  $\Sigma S^{-\zeta_i} \wedge \sigma_i T_+$ . On the other hand, if  $\epsilon_i = 1$ , then the pushout diagram is given by

$$\begin{array}{c} T_{+} \longrightarrow G_{i+} \\ \downarrow & \downarrow \\ * \longrightarrow P(H_{i}). \end{array}$$

which is equalent to the spectrum  $S^{\zeta_i} \wedge \sigma_i T_+$ , again using the proof of claim 2.5. Since the *T*-representation  $\zeta_i$  is isomorphic to  $\alpha_i$ , we may smash them together to obtain

$$s\mathscr{B}_{\infty}(w_{I}) = \Sigma^{m}G_{+} \wedge_{T} \left( S^{\epsilon_{1}\zeta_{i_{1}}} \wedge \sigma_{i_{1}}T_{+} \right) \wedge_{T} \ldots \wedge_{T} \left( S^{\epsilon_{k}\zeta_{i_{k}}} \wedge \sigma_{i_{k}}T_{+} \right)$$

where *m* is the number of negative exponents. Now suspending by a sphere of dimension  $l(w_I)$ , and collecting all the terms  $\sigma_i T_+$  is easily seen to yield the result we seek to prove.

#### 3. Some filtered algebra

Before we address the particular properties enjoyed by  $s\mathscr{B}(w_I)$ , let us digress briefly into the theory of filtered *G*-spectra so as to define a lax notion of equivalence of filtered equivariant *G*-spectra that would be relevant for our purposes.

By a bounded-below filtered *G*-spectrum  $X := \{F_tX\}$ , we mean a filtered *G*-spectrum with the filtration being the trivial spectrum below some fixed integer *n*. We have a collection of cofiber sequences:

 $\cdots F_t X \to F_{t+1} X \to F_{t+1} X / F_t X \to \Sigma F_t X \cdots$ 

which assemble to a collection of maps

 $\partial_t: F_{t+1}X/F_tX \longrightarrow \Sigma F_tX \longrightarrow \Sigma (F_tX/F_{t-1}X).$ 

Furthermore,  $\partial_{t-1} \circ \partial_t$  is null homotopic for each *i*. In particular one obtains a boundedbelow graded chain complex in the homotopy category of *G*-spectra associated to *X*.

**Definition 3.1.** (*The associated graded and the shift functor for filtered spectra*) *The associated graded chain complex of a bounded-below filtered G-spectrum X is defined as* 

 $\{\operatorname{Gr}_t(X)\} := \{\Sigma^{-t}(F_tX/F_{t-1}X), \partial_{t-1}\}.$ 

*Given a bounded below filtered G*-spectrum *X*, we define the shifted spectrum  $X[\varrho]$  as

 $F_t X[\varrho] := F_{t+\varrho} X.$ 

**Remark 3.2.** Notice that desuspension and shift together amount to a reindexing of the associated graded complex. In other words, we have  $\{\operatorname{Gr}_t(\Sigma^{-\varrho}X[\varrho])\} = \{\operatorname{Gr}_{t+\varrho}(X)\}.$ 

**Example 3.3.** The associated graded spectrum associated to  $s\mathscr{B}(w_I)$  is given by

$$\operatorname{Gr}_t(s\mathscr{B}(w_I)) = \bigvee_{J \in \mathcal{I}^t/\mathcal{I}^{t-1}} \mathscr{B}(w_J),$$

with  $\partial_t$  being induced by a signed sum along nontrivial indecomposable morphisms.

**Definition 3.4.** (*Acyclicity and quasi-equivalence of filtered G-spectra*)

A filtered G-spectrum X is said to be acyclic if the associated graded complex  $Gr_t(X)$  admits a "null chain homotopy"  $h_t$  for all  $t \ge 0$ , i.e. one whose graded commutator with  $\partial$  is an equivalence

$$h_t : \operatorname{Gr}_t(X) \longrightarrow \operatorname{Gr}_{t+1}(X), \quad \partial_t \circ h_t + h_{t-1} \circ \partial_{t-1} : \operatorname{Gr}_t(X) \xrightarrow{\simeq} \operatorname{Gr}_t(X).$$

A map of filtered G-spectra  $\rho : X \longrightarrow Y$  is defined as a collection of maps  $\rho_t : F_t X \longrightarrow F_t Y$ , compatible with the filtration. The map  $\rho$  is said to be an elementary quasi-equivalence if the filtered spectrum defined by the fibers (or cofibers) of  $\rho_t$ , is acyclic. Two filtered G-spectra are said to be quasi-equivalent if they are connected by a zig-zag of elementary quasi-equivalences. We will refer to usual levelwise equivalences as honest equivalences.

**Remark 3.5.** Notice that the definitions imply that if two spectra X and Y with finite filtrations are quasi-equivalent, then their limiting G-spectra  $X_{\infty} := \operatorname{hocolim}_t F_t X$  and  $Y_{\infty} := \operatorname{hocolim}_t F_t Y$  respectively are G-equivariantly homotopy equivalent. The converse need not be true as can be easily seen.

**Claim 3.6.** Let  $\rho : X \longrightarrow Y$  be an elementary quasi-equivalence of filtered *G*-spectra. Given a *G*-equivariant cohomology theory  $E_G$  so that the map of cochain complexes induced by  $\rho$ 

$$\rho^* : \mathrm{E}^*_G(\mathrm{Gr}_t(Y)) \longrightarrow \mathrm{E}^*_G(\mathrm{Gr}_t(X)),$$

*is either injective for all* t, or surjective for all t. Then  $\rho^*$  is a quasi-isomorphism.

*Proof.* The proof is straightforward. We prove it under the injectivity assumption, the surjective case is analogous. Assuming injectivity, we notice that there is a short exact sequence of cochain complexes

$$0 \to \mathrm{E}^*_G(\mathrm{Gr}_t(Y)) \xrightarrow{\rho^*} \mathrm{E}^*_G(\mathrm{Gr}_t(X)) \longrightarrow \mathrm{E}^*_G(\mathrm{Gr}_t(Z)) \to 0,$$

where  $Z := \{F_t Z\}$  is the fiber of  $\rho$ . By definition of acyclicity, the cochain complex  $E_G^*(Gr_t(Z))$  is acyclic. The long exact sequence in cohomology therefore implies that  $\rho^*$  is a quasi-isomorphism.

It is easy to see why the requirement of injectivity or surjectivity in the above claim is necessary. For example, given a filtered spectrum X, consider the canonical map of filtered spectra given by the shift that maps each filtrate into the next

$$s: X \longrightarrow X[1], \qquad F_t X \longrightarrow F_{t+1} X.$$

It is straightforward to see that *s* is an elementary quasi-equivalence. However, the induced map  $s^*$  is trivial on the associated graded complex in any cohomology theory  $E_G$ . Indeed, this example is universal in a suitable sense in describing what happens in the case of elementary quasi-equivalences which are trivial on the associated graded object.

**Claim 3.7.** Let  $\rho : X \longrightarrow Y$  be an elementary quasi-equivalence of filtered *G*-spectra, then there exists a filtered *G*-spectrum  $P_{\rho}$  endowed with elementary quasi-equivalences

$$\iota_Y: Y \longrightarrow P_{\rho}, \qquad \iota_X: X[1] \longrightarrow P_{\rho}, \qquad with \qquad \iota_X \circ s \simeq \iota_Y \circ \rho.$$

In particular,  $P_{\rho}$  furnishes a quasi-equivalence between Y and X[1]. Furthermore, if the map of the associated graded cochain complexes induced by  $\rho$ 

$$\rho^* : \mathcal{E}^*_G(\operatorname{Gr}_t(Y)) \longrightarrow \mathcal{E}^*_G(\operatorname{Gr}_t(X)),$$

*is trivial in*  $E_G$ *-cohomology, then both maps*  $\iota_Y^*$  *and*  $\iota_X^*$  *are quasi-isomorphisms on the associated graded complex.* 

*Proof.* Let us define the filtered G-spectrum by defining  $\{F_t P_\rho\}$  as the homotopy pushout

$$F_t X \xrightarrow{s} F_t X[1]$$

$$\downarrow^{\rho} \qquad \qquad \downarrow^{\iota_X}$$

$$F_t Y \xrightarrow{\iota_Y} F_t P_{\rho}.$$

By construction, the fiber of  $\iota_X$  is the fiber of  $\rho$ , which is acyclic. Similarly, the fiber of  $\iota_Y$  is the fiber of s, which is also acyclic. Hence, both  $\iota_X$  and  $\iota_Y$  are elementary quasiequivalences. Now assume that  $\rho$  is trivial in  $E_G$ -cohomology. Then it is easy to see from comparing the long-exact sequences in cohomology for the two rows (resp. columns), that  $\iota_X^*$  (resp.  $\iota_Y^*$ ) is surjective on the associated graded complex. By claim 3.6, it follows that they are quasi-isomorphisms.

#### 4. PROPERTIES OF STRICT BROKEN SYMMETRIES: THE MARKOV 1 PROPERTY

Beginning with this section we shall prove various helpful properties of the *G*-spectrum of strict broken symmetries. To begin with, we will prove a Markov 1 type result which essentially says that  $s\mathscr{B}(w_I)$  is equivalent to the spectrum  $s\mathscr{B}(w_{I^{\tau}})$ , where  $I^{\tau}$  is the sequence obtained by cyclicly permuting *I*. More precisely

**Definition 4.1.** (The permuted poset  $I^{\tau}$ ) Given an indexing sequence  $I = \{\epsilon_1 i_1, \epsilon_2 i_2, \dots, \epsilon_k i_k\}$ , we define the sequence

$$I^{\tau} = \{\epsilon_1^{\tau} i_1^{\tau}, \epsilon_2^{\tau} i_2^{\tau}, \dots, \epsilon_k^{\tau} i_k^{\tau}\} := \{\epsilon_2 i_2, \epsilon_3 i_3, \dots, \epsilon_k i_k, \epsilon_1 i_1\}.$$

Notice that given a subset  $J \in 2^{I}$ , the image of J under this permutation is denoted by  $J^{\tau} \in 2^{I^{\tau}}$ . This gives rise to an isomorphism of the posets  $\tau : 2^{I} \longrightarrow 2^{I^{\tau}}$  which restricts to an isomorphism  $\tau : \mathcal{I} \longrightarrow \mathcal{I}^{\tau}$ , where  $\mathcal{I}^{\tau}$  is the poset of all non-terminal objects in  $2^{I^{\tau}}$ .

With the above definition in place, we prove the Markov 1 property:

**Theorem 4.2.** The functors  $\mathscr{B}(w_J)$  and  $\mathscr{B}(w_{J^{\tau}}) \circ \tau$  are equivalent. In particular,  $\tau$  induces a levelwise (honest) equivalence of filtered *G*-spectra

$$\tau_t: F_t \, s\mathscr{B}(w_I) \xrightarrow{\simeq} F_t \, s\mathscr{B}(w_{I^\tau}), \quad t \ge 0.$$

*Proof.* We require a natural equivalence between the *G*-spectra  $\mathscr{B}(w_J)$  and  $\mathscr{B}(w_{J^{\tau}})$ . Let  $J = \{\epsilon_{i_{j_1}}i_{j_1}, \ldots, \epsilon_{i_{j_s}}i_{j_s}\}$  be an element in  $2^I$ , so that  $\tau(J) := J^{\tau}$  is defined as follows

$$J^{\tau} = \{\epsilon^{\tau}_{i_{j_{1}-1}} i^{\tau}_{j_{1}-1}, \epsilon^{\tau}_{i_{j_{2}-1}} i^{\tau}_{j_{2}-1}, \dots, \epsilon^{\tau}_{i_{j_{s}-1}} i^{\tau}_{j_{s}-1}\} \subseteq I^{\tau}, \quad \text{if} \quad j_{1} > 1, \quad \text{and} \\ J^{\tau} = \{\epsilon^{\tau}_{i_{j_{2}-1}} i^{\tau}_{j_{2}-1}, \dots, \epsilon^{\tau}_{i_{j_{s}-1}} i^{\tau}_{j_{s}-1}, \epsilon^{\tau}_{k} i^{\tau}_{k}\} \subseteq I^{\tau}, \quad \text{if} \quad j_{1} = 1.$$

Recall from definition 2.4 that

 $\mathscr{B}(w_J)$  :  $G_+ \wedge_T (H_{i_{j_1}} \wedge_T H_{i_{j_2}} \wedge_T \dots \wedge_T H_{i_{j_s}})$ , where  $H_i = S^{-\zeta_i} \wedge G_{i_+}$ , if  $\epsilon_i = -1$ ,  $H_i = G_{i_+}$  else. Let us first consider the case of a positive braid  $w_I$ , so that  $H_{i_j} = G_{i_{j_+}}$  for all j. In that case, we define  $\tau$  on the underlying topological space by

$$\begin{split} \tau[(g,g_{i_{j_1}},\ldots,g_{i_{j_s}})] &= [(g,g_{i_{j_1}},\ldots,g_{i_{j_s}})], \quad \text{if} \quad j_1 > 1, \quad \text{and} \\ \tau[(g,g_{i_{j_1}},\ldots,g_{i_{j_s}})] &= [(gg_{i_1},g_{i_{j_2}},\ldots,g_{i_{j_s}},g_{i_1})], \quad \text{if} \quad j_1 = 1, \end{split}$$

where the right hand side is indexed by the subset  $J^{\tau} := \tau(J)$ .

It is easy to see that this map is well defined. The map defined above extends (by permuting the equivariant spheres) to the equivariant vector bundle obtained by smashing this space with the equivivariant spheres of the form  $S^{-\zeta_i}$ . In other words, one may replace  $G_{i_j}$  by  $H_{i_j}$  in the above description to obtain a map covering the space level map described above. This defines the natural equivalence of functors we seek

$$\tau:\mathscr{B}(w_J)\longrightarrow \mathscr{B}(w_{J^\tau}).$$

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## 5. PROPERTIES OF STRICT BROKEN SYMMETRIES: BRAID INVARIANCE

We now move towards showing that  $s\mathscr{B}(w_I)$  depends only on the braid element w and not on the presentation I used to express it. This property requires proving two results. The first result would require showing that  $s\mathscr{B}(w_I)$  is invariant under the braid relations, and the second result would require us to show invariance under the inverse relation, namely that one may contract successive terms in I of the form  $\{\ldots, -i, i, \ldots\}$  or  $\{\ldots, i, -i, \ldots\}$ without changing the equivariant homotopy type up to quasi-equivalence.

We begin our goal by first proving the following theorem on braid invariance:

**Theorem 5.1.** For a fixed pair of indices (i, j), let  $I^{(i,j)}$  be an arbitrary sequence that contains the braid sequence  $\mathcal{O}^{(i,j)} := \{i, j, i, j, ...\}$  with  $m_{ij}$ -terms as a subsequence of consecutive terms. Define  $I^{(j,i)}$  to be the sequence obtained by replacing the braid subsequence with its counterpart  $\{j, i, j, i...\}$  with  $m_{ij}$ -terms. Then the filtered G-spectra  $s\mathscr{B}(w_{I^{(i,j)}})$  and  $s\mathscr{B}(w_{I^{(j,i)}})$  are connected by a sequence of zig-zags of elementary quasi-equivalences. In particular, they are quasiequivalent.

We will only consider the nontrivial case where  $m_{i,j} > 2$ . The case when  $m_{i,j} = 2$  is straightforward since the (left/right)  $T \times T$ -spaces  $G_i \times_T G_j$  and  $G_j \times_T G_i$  can both be identified with the same space, namely the subgroup of G generated by  $G_i$  and  $G_j$ . The proof of theorem 5.1 for  $m_{i,j} > 2$  is fairly technical, and packed with several constructions and corresponding definitions. The reader interested in the bigger picture may safely ignore the rest of the section. For those who choose the path of most resistance, it would be helpful to review the appendix (section 10) briefly before proceeding. The general argument of the proof can be outlined as follows.

We will introduce two sequences of filtered *G*-spectra  $s\mathcal{BSh}^{(i,j,m)}(w_I)$  and  $s\mathcal{BSh}^{(j,i,m)}(w_I)$ resp. for  $1 \le m \le m_{ij}$  called *strict broken Schubert* spectra. The spectra in either sequence will be shown to belong to the same quasi-equivalence class by a sequence of zig-zags of elementary quasi-equivalences. Moreover, by construction, the sequences will begin with  $s\mathcal{B}(w_{I^{(i,j)}})$  and  $s\mathcal{B}(w_{I^{(j,i)}})$  respectively, and end with the exact same spectrum, allowing us to deduce the quasi-equilance between  $s\mathcal{B}(w_{I^{(i,j)}})$  and  $s\mathcal{B}(w_{I^{(j,i)}})$ . In other words, the beginning and ending terms of the sequences are

$$s\mathcal{BSh}^{(i,j,1)}(w_I) = s\mathscr{B}(w_{I^{(i,j)}}), \quad s\mathcal{BSh}^{(j,i,1)}(w_I) = s\mathscr{B}(w_{I^{(j,i)}}),$$
$$s\mathcal{BSh}^{(i,j,m_{ij})}(w_I) = s\mathcal{BSh}^{(j,i,m_{ij})}(w_I).$$

As with strict broken symmetries, the filtered spectra  $sBSh^{(i,j,m)}(w_I)$  and  $sBSh^{(j,i,m)}(w_I)$ will be defined via a homotopy colimit of two functors  $BSh^{(i,j,m)}(w_J)$  and  $BSh^{(j,i,m)}(w_J)$ (resp.) indexed over a poset category. Before we do that, we require

# **Definition 5.2.** (Schubert varieties and their lifts)

Let  $\mathcal{O}^{(i,j)}$  denote the indexing sequence  $\{i, j, i, ...\}$   $(m_{ij}$ -terms). Let  $K \subseteq \mathcal{O}^{(i,j)}$  be any subset  $K = \{k_1, k_2, ..., k_q\}$ . The Schubert variety  $\mathcal{X}_K$  is defined as the image under group multiplication

$$\mathcal{X}_K = \text{Image of } G_{k_1} \times_T \cdots \times_T (G_{k_s}/T) \longrightarrow G/T.$$

Define  $Sh_K \subset G$  to be the  $T \times T$ -invariant subspace to be the pullback (where  $T \times T$  acts on G via left/right multiplication)



**Remark 5.3.** Notice that  $Sh_K$  depends only on the **reduced sequence for** K, namely the sequence obtained by contracting all sequentially repeated elements. For instance  $Sh_K$  for the subsequence  $K = \{i, j, j, i\} \subset \mathcal{O}^{(i,j)} := \{i, j, i, j, i\}$  agrees with  $Sh_{K_{red}}$ , where  $K_{red} = \{i, j, i\}$ .

**Definition 5.4.** (*Poset of reduced sequences*)

Let  $\mathcal{O}^{(i,j)}$  denote the indexing sequence  $\{i, j, i, ...\}$   $(m_{ij}$ -terms). Let  $\mathcal{O}^{(i,j)}_{red}$  denote the quotient of the poset of all subsets of  $\mathcal{O}^{(i,j)}$  under the equivalence relation that identifies two indexing sequences if they have the same reduced sequence (see remark 5.3 above). For any 0 , we $have exactly two elements of <math>\mathcal{O}^{(i,j)}_{red}$  given by reduced sequences of length p, namely  $\{ijij...\}$  or  $\{jiji...\}$ . There are two more additional sequences given by the empty sequence and the sequence  $\{ijij...\}$  with  $m_{ij}$ -terms. There is a unique nontrivial morphism from one sequence into a strictly longer sequence.

For  $1 \leq m \leq m_{ij}$ , let  $\mathcal{O}^{(i,j,m)} \subseteq \mathcal{O}^{(i,j)}$  denote the indexing sequence containing the last *m*-terms, and let  $\mathcal{O}^{(i,j,m)}_{red} \subseteq \mathcal{O}^{(i,j)}_{red}$  denote the sub-poset of sequences in the equivalence class of those subsets in  $\mathcal{O}^{(i,j,m)}$ . In particular,  $\mathcal{O}^{(i,j,m)}_{red}$  has 2m elements. Recall the poset  $\mathcal{P}_{(m-1)}$  that captures the standard regular CW decomposition of the (m-1)-disc (see example 10.6). It is easy to see that  $\mathcal{O}^{(i,j,m)}_{red}$  is equivalent to the poset  $\mathcal{P}_{(m-1)} \cup \infty$  obtained by adding a terminal object to  $\mathcal{P}_{(m-1)}$ .

Let us briefly explore sequences of categories that contain the above posets.

**Definition 5.5.** (Indexing sequences containing posets of reduces sequences)

*Consider an indexing sequence*  $I^{(i,j)}$  *that contains the subsequence*  $\mathcal{O}^{(i,j)}$ *, so that we have* 

$$I^{(i,j)} = \{\epsilon_1 i_1, \dots, \epsilon_l i_l, \mathcal{O}^{(i,j)}, \epsilon_{l+m_{ij}+1} i_{l+m_{ij}+1}, \dots, \epsilon_k i_k\} = I^{(i,j,m_{ij})} \prod \mathcal{O}^{(i,j)}$$

where we define  $I^{(i,j,m_{ij})} = \{\epsilon_1 i_1, \ldots, \epsilon_l i_l, \epsilon_{l+m_{ij}+1} i_{l+m_{ij}+1}, \ldots, \epsilon_k i_k\}$ . Similarly, we define  $I^{(i,j,m)}$ by the presentation  $I^{(i,j,m)} = \{\epsilon_1 i_1, \ldots, \epsilon_{l+m_{ij}-m} i_{l+m_{ij}-m}, \epsilon_{l+m_{ij}+1} i_{l+m_{ij}+1}, \ldots, \epsilon_k i_k\}$ , so that  $I^{(i,j)} = I^{(i,j,m)} \coprod \mathcal{O}^{(i,j,m)}$ .

Define the poset categories  $\mathcal{J}_m^{(i,j)} = 2^{I^{(i,j,m)}} \times \mathcal{O}_{red}^{(i,j,m)}$ , and  $\mathcal{I}_m = \mathcal{J}_m^{(i,j)}/J^{(m,+)}$ , where  $J^{(m,+)}$  is the terminal object. It follows from definiton 5.4 and 10.2 that the poset  $\mathcal{I}_m$  is an iterated join of  $\mathcal{P}_{(m-1)}$  with several factors of the one element poset •. Notice that for  $m < m_{ij}$  there is a canonical projection map of posets  $\pi_m : \mathcal{I}_m \longrightarrow \mathcal{I}_{m+1}$ . Moreover, it satisfies the property that the preimage of any element is unique unless it is of the form  $(J, K) \in 2^{I^{(i,j,m+1)}} \times \mathcal{O}_{red}^{(i,j,m+1)}$  for which the sequence K begins with  $i_{l+m_{ij}-m}$ , and is non maximal (so that it can be augmented on the left). It this case, the preimage of (J, K) consists of three elements: (J, K),  $(\tilde{J}, \tilde{K})$ , and  $(\tilde{J}, K)$  where  $\tilde{J}$  augments Jby the element  $i_{l+m_{ij}-m}$ , and  $\tilde{K}$  is obtained from K by dropping the initial term. One checks that the third element is the unique element that lies above other two by virtue of an indecomposable inclusion. An easy exercise now shows that  $\pi_m$  is a subdivision of posets as defined in 10.4 (also see example 10.6). We finally get to the definition of the family of filtered *G*-spectra  $s\mathcal{BSh}^{(i,j,m)}(w_I)$ .

#### **Definition 5.6.** (Strict broken Schubert spectra)

Define functors of broken Schubert spectra  $\mathcal{BSh}^{(i,j,m)}(w_{(J,K)})$  on the category  $\mathcal{J}_m^{(i,j)}$  as follows:

$$\mathcal{BSh}^{(i,j,m)}(w_{(J,K)}) = G_+ \wedge_T (H_{i_{j_1}} \wedge_T \cdots \wedge_T H_{i_{j_q}} \wedge_T \mathcal{Sh}_{K+} \wedge_T H_{i_{j_{q+1}}} \wedge_T \cdots \wedge_T H_{i_{j_s}}),$$

where  $J \in 2^{I^{(i,j,m)}}$  and  $K \in \mathcal{O}_{red}^{(i,j,m)}$ . We also recall our convention that  $H_i = S^{-\zeta_i} \wedge G_{i+}$  if  $\epsilon_i = -1$ , and  $H_i = G_{i+}$  if  $\epsilon_i = 1$ . As in the case of strict broken symmetries, we define the strict broken Schubert spectra as the filtered equivariant G-spectra  $F_t s \mathcal{BSh}^{(i,j,m)}(w_I)$  by the cofiber sequence

$$\operatorname{hocolim}_{(J,K)\in\mathcal{I}_m^t}\mathcal{BSh}^{(i,j,m)}(w_{(J,K)})\longrightarrow\mathcal{BSh}^{(i,j,m)}(w_{J^{(m,+)}})\longrightarrow F_t\,s\mathcal{BSh}^{(i,j,m)}(w_I),$$

where  $\mathcal{I}_m^t$  we recall is the sub poset of elements in  $\mathcal{I}_m$  that are no more than t non-trivial decomposable morphisms away from the terminal object  $J^{(m,+)}$ . Notice that the filtered spectrum  $s\mathcal{BSh}^{(i,j,1)}(w_I)$  agrees with  $s\mathcal{B}(w_{I^{(i,j)}})$ , and that we have  $s\mathcal{BSh}^{(i,j,m_{ij})}(w_I) = s\mathcal{BSh}^{(j,i,m_{ij})}(w_I)$ since the functor  $\mathcal{BSh}^{(i,j,m_{ij})}(w_{(J,K)})$  is the same in both cases.

As mentioned previously, we will presently show that all the filtered spectra of the type  $sBSh^{(i,j,m)}(w_I)$  are in the same quasi-equivalence class. Of course, the same will be true for (i, j) replaced by (j, i). That would constitute the proof of theorem 5.1 as indicated above.

However, we need a preliminary lemma that will help us compare pointwise fibers along a map of homotopy colimits.

**Lemma 5.7.** Assume  $K \subseteq O^{(i,j)}$  is a subsequence so that  $K = \{k_1, k_2, \ldots, k_q\}$ , with  $k_{m+1} = \overline{k}_m$ , where  $\overline{k}_m$  is the counterpart of  $k_m$ . So for instance if  $k_m = i$ , then  $\overline{k}_m = j$  and vice versa. Assume X and Y are  $T \times T$ -spaces so that X is free as a right T-space. Then the following diagram is an honest pushout of equivariant  $T \times T$ -spaces

$$\begin{array}{cccc} X \times_T G_{k_1} \times_T \mathcal{S}h_K \times_T Y \longrightarrow X \times_T G_{k_1} \times_T \mathcal{S}h_{K'} \times_T Y \\ & & \downarrow \\ X \times_T \mathcal{S}h_K \times_T Y \longrightarrow X \times_T \mathcal{S}h_{K''} \times_T Y, \end{array}$$

where K' is defined as the set  $\{\overline{k}_1, k_1, k_2, \dots, k_q\}$ , and  $K'' = \{k_1, \overline{k}_1, k_1, k_2, \dots, k_q\}$ . All maps in the above diagram are given by the canonical maps. The vertical maps being induced by multiplication in G, and the horizontal ones being the standard inclusion induced by  $K \subset K' \subset K''$ .

*Proof.* Using the left freeness of *X* as a right *T*-space, we see that the above diagram fibers over the following diagram, with fiber *Y*:

$$\begin{array}{cccc} X \times_T G_{k_1} \times_T \mathcal{X}_K \longrightarrow X \times_T G_{k_1} \times_T \mathcal{X}_{K'} \\ & & \downarrow \\ & & \downarrow \\ & X \times_T \mathcal{X}_K \longrightarrow X \times_T \mathcal{X}_{K''}. \end{array}$$

Again, using the freeness of *X* as a right *T*-space, we see that the above diagram itself fibers over X/T, with fiber being the diagram:



It is therefore enough to prove that the diagram shown above is an honest pushout. This is essentially an application of the Bruhat decomposition theorem [11]. The Bruhat decomposition theorem says that there is a canonical (left) *T*-equivariant CW decomposition of the Schubert varieties of the form  $\mathcal{X}_K$ . Furthermore, the (open) cells are a product (under group multiplication) of the 2-cells of the form  $\mathbb{C}_k$ , where  $\mathbb{C}_k$  denotes any lift of the space  $(G_k/T) - (T/T)$  to  $G_k$ .

Let us make the Bruhat decomposition precise in the case of interest to us. Let  $K_{red}$  denote the reduced set corresponding to K. Recall from remark 5.3, that  $K_{red}$  is obtained from K by contracting all repeated indices. Let n be the cardinality of  $K_{red}$ . Bruhat decomposition then gives us a cellular decomposition of  $\mathcal{X}_K$  with the top cell given by the alternating product  $\mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k_1}} \times \mathbb{C}_{k_1} \times \cdots$  *n*-terms. Lower dimensional open cells are given by alternating products of the form  $\mathbb{C}_i \times \mathbb{C}_j \times \mathbb{C}_i \times \cdots$  or  $\mathbb{C}_j \times \mathbb{C}_i \times \mathbb{C}_j \times \cdots$  with p terms for  $0 \le p < n$ . In particular, K' is obtained from K by adding two more cells given by  $\mathbb{C}_{\overline{k}_1} \times \mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k}_1} \times \cdots$  *n*-terms, and the cell  $\mathbb{C}_{\overline{k}_1} \times \mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k}_1} \times \cdots (n+1)$ -terms. The space  $G_{k_1} \times_T \mathcal{X}_{K'}$  is therefore obtained from  $G_{k_1} \times_T \mathcal{X}_K$  by adding yet another two cells  $\mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k}_1} \times \mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k}_1} \times \cdots (n+1)$ -terms, and the cell  $\mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k}_1} \times \mathbb{C}_{\overline{k}_1} \times \mathbb{C}_{\overline{k}_1} \times \cdots$ (n+2)-terms. It follows that the cofiber of the inclusion of  $G_{k_1} \times_T \mathcal{X}_K \subset G_{k_1} \times_T \mathcal{X}_{K'}$  has a *T*-invariant CW decomposition with four cells given by  $\mathbb{C}_{\overline{k}_1} \times \mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k}_1} \times \cdots$  *n*-terms, the cell  $\mathbb{C}_{\overline{k}_1} \times \mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k}_1} \times \cdots (n+1)$ -terms, the cell  $\mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k}_1} \times \mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k}_1} \times \cdots (n+1)$ -terms, and the cell  $\mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k}_1} \times \mathbb{C}_{k_1} \times \mathbb{C}_{\overline{k}_1} \times \cdots (n+2)$ -terms. These are precisely the four cells that build  $\mathcal{X}_{K''}$  from  $\mathcal{X}_{K}$ . In particular, the cofibers of the horizontal maps in the above diagram are mapped homeomorphically under the vertical maps. This is equivalent to saying that the diagram is a pushout. 

**Remark 5.8.** Notice that the left vertical map in the diagram for lemma 5.7 splits at  $T \times T$ -spaces, hence we have the equality in the category of *G*-spectra

$$(X \times_T G_{k_1} \times_T \mathcal{S}h_K \times_T Y)_+ = F \lor (X \times_T \mathcal{S}h_K \times_T Y)_+,$$

where *F* is the equivariant *G*-spectrum given by the fiber of (either) vertical map. It is easy to verify that the statement of lemma 5.7, and the above splitting also holds if we replace each corner of the commutative diagram of 5.7 by the Thom spectrum of a bundle  $\zeta$  pulled back from the pushout  $X \times_T Sh_{K''} \times_T Y$ .

Our next step is to show that  $sBSh^{(i,j,m)}(w_I)$  and  $sBSh^{(i,j,m+1)}(w_I)$  are quasi-equivalent. We do that by means of a zig-zag of elementary quasi-equivalences induced by the map of posets  $\pi_m$  of definition 5.5. We abuse notation by overusing the notation  $\pi_m$  for induced functors, hoping that the context avoids any confusion. For  $m < m_{i,j}$ , consider maps

$$\pi_m : s\mathcal{BS}h^{(i,j,m)}(w_I) \longrightarrow \pi_m^* s\mathcal{BS}h^{(i,j,m+1)}(w_I) \longleftarrow s\mathcal{BS}h^{(i,j,m+1)}(w_I) : \iota_m,$$

where  $\pi_m : s\mathcal{BSh}^{(i,j,m)}(w_I) \longrightarrow \pi_m^* s\mathcal{BSh}^{(i,j,m+1)}(w_I)$  is induced by the natural transformation between the functor  $\mathcal{BSh}^{(i,j,m)}(w_{(J,K)})$  and the functor  $\pi_m^* \mathcal{BSh}^{(i,j,m+1)}(w_{(J,K)}) = \mathcal{BSh}^{(i,j,m+1)}(w_{\pi_m(J,K)})$ . The map  $\iota_m : s\mathcal{BSh}^{(i,j,m+1)}(w_I) \longrightarrow \pi_m^* s\mathcal{BSh}^{(i,j,m+1)}(w_I)$  is constructed using the fact that  $\pi_m$  is a subdivision as a map of posets (see definition 5.5), and then applying theorem 10.7 from the appendix.

We begin by analyzing the map  $\pi_m$ . Let  $Z_m$  denote the filtered *G*-spectrum representing the fiber of  $\pi_m$ . Consider the fibration induced by  $\pi_m$  on the level of associated graded

$$\operatorname{Gr}_{t}(Z_{m}) \longrightarrow \bigvee_{(J,K) \in \mathcal{I}_{m}^{t}/\mathcal{I}_{m}^{t-1}} \mathcal{BSh}^{(i,j,m)}(w_{(J,K)}) \stackrel{\operatorname{Gr}(\pi_{m})}{\longrightarrow} \bigvee_{(J,K) \in \mathcal{I}_{m}^{t}/\mathcal{I}_{m}^{t-1}} \mathcal{BSh}^{(i,j,m+1)}(w_{\pi_{m}(J,K)}).$$

Our goal is to show that  $Z_m$  is acyclic. Notice that for any object (J, K), for which  $i_{l+m_{ij}-m} \notin J$ , the map

$$\operatorname{Gr}(\pi_m)$$
:  $\mathcal{BSh}^{(i,j,m)}(w_{(J,K)}) \longrightarrow \mathcal{BSh}^{(i,j,m+1)}(w_{\pi_m(J,K)})$ 

is an equivalence. Hence, the fiber of  $\operatorname{Gr}(\pi_m)$  is detected on objects (J, K) for which  $i_{l+m_{ij}-m} \in J$ . We decompose such objects into two types. The first type of objects are those for which the first term of K is  $i_{l+m_{ij}-m}$ , and the second type for which the first term is not  $i_{l+m_{ij}-m}$ . Consider the boundary map  $\partial : \operatorname{Gr}_t(Z_m) \longrightarrow \operatorname{Gr}_{t-1}(Z_m)$  on objects of the first type. We see that precisely one component of this boundary maps to an object of the second type, and on that component, it is equivalent to the map on the homotopy fibers of vertical maps in a diagram of the form described in lemma 5.7 and remark 5.8. Since these maps are cellular, lemma 5.7 implies that the map  $\partial$  on this component gives rise to an equivalence. One therefore has a retraction to  $\partial$  on objects of the second type, giving rise to a stable chain homotopy.

It remains to show that  $\iota_m : s\mathcal{BSh}^{(i,j,m+1)}(w_I) \longrightarrow \pi_m^* s\mathcal{BSh}^{(i,j,m+1)}(w_I)$  is also a quasiequivalence. For this, let  $F_t W_m$  denote the filtered *G*-spectrum representing the cofiber of  $\iota_m$ . On the level of associated graded, we have a cofibration induced by  $\iota_m$ 

$$\bigvee_{(\tilde{J},\tilde{K})\in\mathcal{I}_{m+1}^t/\mathcal{I}_{m+1}^{t-1}}\mathcal{BSh}^{(i,j,m+1)}(w_{(\tilde{J},\tilde{K})})\longrightarrow\bigvee_{(J,K)\in\mathcal{I}_m^t/\mathcal{I}_m^{t-1}}\pi_m^*\mathcal{BSh}^{(i,j,m+1)}(w_{(J,K)})\longrightarrow\mathrm{Gr}_t(W_m).$$

Invoking theorem 10.7 we see that the first map admits a retraction, and we are left with the identification of  $Gr_t(W_m)$  with the complementary summand in the middle term. We express this summand as

$$\bigvee_{(J,K)\in A\}} \mathcal{BS}h^{(i,j,m+1)}(w_{(J,K)},$$

{

where the set  $A \subseteq \mathcal{I}_m^t/\mathcal{I}_m^{t-1}$  denotes the collection of pairs (J, K) for which  $i_{l+m_{ij}-m} \in J$ and K can be augmented in  $\mathcal{O}_{red}^{(i,j,m)}$  by adding terms on the left. Notice that the collection of objects in A come in two types determined by the sequence K. The first type are the ones where the first term of K begins with  $i_{l+m_{ij}-m+1}$  (or K is the empty sequence), and the second type being the ones where the first term is  $i_{l+m_{ij}-m}$ . The boundary  $\partial$  pairs up the terms of the first type with those of the second, and is an equivalence between these terms. In particular, we obtain the chain homotopy as before given by the inverse of  $\partial$ on these terms. It follows that the cofiber of  $\iota_m$  is acyclic. This completes the proof of theorem 5.1.

#### 6. PROPERTIES OF STRICT BROKEN SYMMETRIES: INVERSE RELATION AND REFLEXIVITY

In this section, we address the inverse relation and the property of reflection. In the former case, one contracts successive terms in an indexing sequence I of the form  $\{\ldots, -i, i, \ldots\}$  or  $\{\ldots, i, -i, \ldots\}$  without changing the equivariant homotopy type up to possible quasiequivalence, suspension and shift. In the latter case, one reflects the indexing sequence  $I = \{\epsilon_1 i_1, \ldots, \epsilon_k i_k\}$  to the form  $\{\epsilon_k i_k, \epsilon_{k-1} i_{k-1}, \ldots, \epsilon_1 i_1\}$  without changing the equivariant homotopy type.

We begin with the following theorem.

**Theorem 6.1.** Let  $I_{\pm}$  and  $I_{\mp}$  denote indexing sequences of the form

$$I_{\pm} = \{\epsilon_1 i_1, \dots, \epsilon_l i_l, i, -i, \epsilon_{l+3} i_{l+3}, \dots, \epsilon_k i_k\}, \quad I_{\mp} = \{\epsilon_1 i_1, \dots, \epsilon_l i_l, -i, i, \epsilon_{l+3} i_{l+3}, \dots, \epsilon_k i_k\},$$

then there exists an elementary quasi-equivalence between the filtered G-spectra  $s\mathscr{B}(w_{I_{\pm}})$  or  $s\mathscr{B}(w_{I_{\mp}})$  and the shifted spectrum  $\Sigma s\mathscr{B}(w_{I_{red}})[-1]$  (see 3.1 for the definition of shift), where

 $I_{red} = \{\epsilon_1 i_1, \dots, \epsilon_l i_l, \epsilon_{l+3} i_{l+3}, \dots \epsilon_k i_k\}.$ 

The proof of the above theorem will rest on the following two claims

**Claim 6.2.** The inclusion map  $\iota_i : T_+ \longrightarrow G_{i+}$  induces a  $T \times T$  equivariantly split injection

$$\iota_i: S^{-\zeta_i} \wedge G_{i+} = T_+ \wedge_T (S^{-\zeta_i} \wedge G_{i+}) \longrightarrow G_{i+} \wedge_T (S^{-\zeta_i} \wedge G_{i+}).$$

*Proof.* We simply need to furnish an equivariant retraction. Recall that  $S^{-\zeta_i}$  was the restriction to T of a  $G_i$  representation. In particular, the T-action on  $S^{-\zeta_i}$  extends to a  $G_i$ -action. The retraction we seek is given by the left  $G_i$ -action on  $S^{-\zeta_i} \wedge G_{i+1}$ 

$$\mu: G_{i+} \wedge_T (S^{-\zeta_i} \wedge G_{i+}) \longrightarrow S^{-\zeta_i} \wedge G_{i+}.$$

**Claim 6.3.** The pinch map  $\pi_i : S^{-\zeta_i} \wedge G_{i+} \longrightarrow T_+$  of claim 2.5 induces a  $T \times T$  equivariantly split surjection

$$\pi_i: G_{i+} \wedge_T (S^{-\zeta_i} \wedge G_{i+}) \longrightarrow G_{i+} \wedge_T T_+ = G_{i+}.$$

*Proof.* It is easy to see that the fiber of  $\pi_i$  is given by the spectrum  $G_{i+} \wedge (S^{-\zeta_i} \wedge_T T \sigma_{i+})$ , where  $\sigma_i \in G_i$  is any lift of the Weyl generator by the same name. It remains to construct an equivariant retraction from  $G_{i+} \wedge_T (S^{-\zeta_i} \wedge G_{i+})$  to  $G_{i+} \wedge_T (S^{-\zeta_i} \wedge T \sigma_{i+})$ . This retraction  $r_i$  may be defined as follows:

$$r_i: G_{i+} \wedge_T (S^{-\zeta_i} \wedge G_{i+}) \longrightarrow G_{i+} \wedge_T (S^{-\zeta_i} \wedge T\sigma_{i+}), \qquad (g, \lambda, h) \longmapsto (gh\sigma_i^{-1}, (\sigma_i h^{-1})_*\lambda, \sigma_i).$$

**Remark 6.4.** It is straightforward to check that the composite map given by the inclusion  $\iota_i$  followed by the retraction  $r_i$  is an equivalence

$$r_i \circ \iota_i : S^{-\zeta_i} \wedge G_{i+} \xrightarrow{\cong} G_{i+} \wedge_T (S^{-\zeta_i} \wedge T\sigma_{i+})$$

In proving theorem 6.1 we will only address the case of  $I := I_{\pm}$ , the other case being similar. First, let us consider the homotopy colimit  $\operatorname{hocolim}_{J \in \mathcal{I}^t} \mathscr{B}(w_J)$ . We may decompose  $\mathcal{I}^t$  into subcategories so that this homotopy colimit may be expressed as the homotopy colimit over the following diagram



Now the entire diagram fibers over  $\mathscr{B}(w_{I^+}) = \mathscr{B}(w_{I^+_{red} \cup \{i\}})$ . In particular, we may express  $\mathscr{B}(w_{I^+}) = \mathscr{B}(w_{I^+_{red} \cup \{i\}})$  as a colimit over a similar diagram



Now consider the fibration

$$\operatorname{hocolim}_{J\in\mathcal{I}^t}\mathscr{B}(w_J) \xrightarrow{\pi} \mathscr{B}(w_{I^+}) \longrightarrow F_t \, s\mathscr{B}(w_I).$$

We may express  $F_t s \mathscr{B}(w_I)$  as a homotopy colimit of the pointwise cofibers, denoted as  $\widetilde{\mathscr{B}}(w_J)$ , of the above two diagrams:



Now, using claim 6.3, it is easy to see that the following map in the above diagram

$$F_{t-1} \mathscr{B}(w_{I_{red}}) \longrightarrow \operatorname{hocolim}_{J \in \mathcal{I}_{red}^t} \mathscr{B}(w_{J \cup \{i\}})$$

lifts to  $\operatorname{hocolim}_{J \in \mathcal{I}_{red}^{t-1}} \widetilde{\mathscr{B}}(w_{J \cup \{i,-i\}})$ . Using this lift (namely by adding the negative of the lift), we may construct an inclusion of the following pushout representing  $\Sigma F_{t-1} s \mathscr{B}(w_{I_{red}})$ 

$$* \longleftarrow F_{t-1} \, s \mathscr{B}(w_{I_{red}}) \longrightarrow *$$

into the above homotopy colimit diagram representing  $F_t s\mathscr{B}(w_I)$ . The cokernel of this inclusion is the homotopy colimit given by the *G*-spectrum *Z*, with  $F_t Z$  defined as the homotopy colimit of a diagram described below



Consider the associated graded complex of Z. All the above maps are trivial on the level of associated graded and so we see that

$$\operatorname{Gr}_{t}(Z) = \bigvee_{J \in \mathcal{I}_{red}^{t}} \mathscr{B}(w_{J \cup \{i\}}) \lor \bigvee_{J \in \mathcal{I}_{red}^{t-1}} \mathscr{B}(w_{J \cup \{i,-i\}}) \lor \bigvee_{J \in \mathcal{I}_{red}^{t-2}} \mathscr{B}(w_{J \cup \{-i\}})$$

Using claims 6.2, 6.3 and remark 6.4, it is easy to see that the nontrivial horizontal map in the above diagram admits an objectwise retraction and the nontrivial slanted map is objectwise split. It follows that the differential on  $\operatorname{Gr}_t(Z)$  pairs up these split summands, from which it follows that the filtered spectrum Z is acyclic. We therefore deduce that  $s\mathscr{B}(w_{I_{\pm}})$  is quasi-equivalent to  $\Sigma s\mathscr{B}(w_{I_{red}})[-1]$  as we wanted to show. The above argument completes the proof of theorem 6.1 and establishes theorem 2.11.

**Remark 6.5.** Theorem 2.11 tells us that  $s\mathscr{B}(w)$  depends only on the braid element w up to quasiequivalence. However, given a *G*-equivariant cohomology theory  $E_G$ , it is not immediate that the cochain complex  $E_G^*(Gr_t(s\mathscr{B}(w)))$  is well defined up to quasi-isomorphism. By invoking claim 3.6, this would indeed be the case if we could check that each map in the zig-zag used to establish the proof of theorem 2.11, is either injective or surjective on the level of  $E_G^*(Gr)$ . Analyzing the proof of theorems 5.1 and 6.1 (that feed into the proof of theorem 2.11), one observes that this condition is purely formal for most maps since the associated graded complex for these map splits. The only ones for which this condition needs to be verified in cohomology are the following elementary quasiequivalences of filtered *G*-spectra in the proof of theorem 5.1, for any pair of indices (i, j), and for  $1 < m < m_{ij}$ 

$$\pi_m : s\mathcal{BSh}^{(i,j,m)}(w_I) \longrightarrow \pi_m^* s\mathcal{BSh}^{(i,j,m+1)}(w_I)$$

**Remark 6.6.** Let us observe that the proofs of invariance under braid and inversion relations given in sections 5 and 6 actually hold for the underlying  $T \times T$ -spectra  $s\mathscr{B}_T(w_I)$  before we induce up to U(r). The invariance under these relations consequently also holds for the (strict) "Bott-Samelson" spectra  $s\mathscr{B}(w_I) \wedge_T S^0$ , where we have taken orbits under the right T-action. The spectrum  $s\mathscr{B}(w_I) \wedge_T S^0$  is a filtered equivariant spectrum that represents an equivariant filtered homotopy type for the complexes studied by Rouquier in [17, 18]. We revisit the Bott-Samelson spectra in ([7] see in particular theorem 2.7). We end this section with an additional property of the invariant  $s\mathscr{B}(w_I)$  of interest.

**Definition 6.7.** (*The reflected poset*  $\mathcal{I}^R$ )

Given a sequence  $I = \{\epsilon_1 i_1, \epsilon_2 i_2, \dots, \epsilon_k i_k\}$ , we define its reflection

 $I^R = \{\epsilon_k i_k, \epsilon_{k-1} i_{k-1}, \dots, \epsilon_1 i_1\}.$ 

This gives rise to an isomorphism of the posets  $R : 2^I \longrightarrow 2^{I^R}$  which restricts to an isomorphism  $R : \mathcal{I} \longrightarrow \mathcal{I}^R$ , where  $\mathcal{I}^R$  is the poset of all non-terminal objects in  $2^{I^R}$ . Given a subset  $J \in 2^I$ , its image under R is an element in  $2^{I^R}$  denoted by  $J^R$ .

With the above definition in place, let us prove the reflexive property:

**Theorem 6.8.** The functors  $\mathscr{B}(w_J)$  and  $\mathscr{B}(w_{J^R}) \circ R$  are equivalent. In particular, R induces a levelwise (honest) equivalence of filtered G-spectra

$$R_t: F_t \, s\mathscr{B}(w_I) \stackrel{\simeq}{\longrightarrow} F_t \, s\mathscr{B}(w_{I^R}), \quad t \ge 0$$

*Proof.* We require a natural equivalence between the *G*-spectra  $\mathscr{B}(w_J)$  and  $\mathscr{B}(w_{J^R})$ . Let  $J = \{\epsilon_{i_{j_1}}i_{j_1}, \ldots, \epsilon_{i_{j_s}}i_{j_s}\}$  be an element in  $2^I$ . Recall from definition 2.4 that

 $\mathscr{B}(w_J)$  :  $G_+ \wedge_T (H_{i_{j_1}} \wedge_T H_{i_{j_2}} \wedge_T \dots \wedge_T H_{i_{j_s}})$ , where  $H_i = S^{-\zeta_i} \wedge G_{i_+}$ , if  $\epsilon_i = -1$ ,  $H_i = G_{i_+}$  else. Let us first consider the case of a positive braid  $w_I$ , so that  $H_i = G_{i_+}$  for all j. In that case, we define R on the underlying topological space by

$$R[(g, g_{i_{j_1}}, \dots, g_{i_{j_s}})] = [(g(g_{i_{j_1}}, \dots, g_{i_{j_s}}), g_{i_{j_s}}^{-1}, \dots, g_{i_{j_1}}^{-1})], \quad (i_{j_s}, \dots, i_{j_1}) = J^R.$$

We may express the above map as  $R = \mu \wedge_T (R_{i_{j_s}} \wedge_T R_{i_{j_{s-1}}} \dots \wedge_T R_{i_{j_1}})$ , where  $\mu$  is induced by the multiplication map:

 $\mu: G \times G_{i_{j_1}} \times \cdots \times G_{i_{j_s}} \longrightarrow G, \quad (g, g_{i_{j_1}}, \dots, g_{i_{j_s}}) \longmapsto gg_{i_{j_1}}, \dots g_{i_{j_s}},$ 

and  $R_i : G_i \to G_i$  is the inversion map.

We now extend the above description of R to the case of an arbitrary braid  $w_I$ . To begin, let us observe that  $S^{-\zeta_i} \wedge G_{i+}$  admits a map of the form  $S^{-\zeta_i} \wedge G_{i+} \longrightarrow S^{-\zeta_i} \wedge G_{i+} \wedge G_{i+}$  given by performing the diagonal on the last factor. Therefore, for an arbitrary braid  $w_I$ , we have a map of the form

$$\mathbf{D}: G_+ \wedge (H_{i_{j_1}} \wedge H_{i_{j_2}} \wedge \ldots \wedge H_{i_{j_s}}) \longrightarrow G_+ \wedge ((H_{i_{j_1}} \wedge G_{i_{j_1}}) \wedge (H_{i_{j_2}} \wedge G_{i_{j_2}}) \wedge \ldots \wedge (H_{i_{j_s}} \wedge G_{i_{j_s}})).$$

The map  $\mu$  defined above can now be invoked to obtain.

 $\mu: G_+ \wedge ((H_{i_{j_1}} \wedge G_{i_{j_1}}) \wedge (H_{i_{j_2}} \wedge G_{i_{j_2}}) \wedge \ldots \wedge (H_{i_{j_s}} \wedge G_{i_{j_s}+})) \longrightarrow G_+ \wedge (H_{i_{j_1}} \wedge H_{i_{j_2}} \wedge \ldots \wedge H_{i_{j_s}}).$ Hence, we have a self-map M of  $G_+ \wedge (H_{i_{j_1}} \wedge H_{i_{j_2}} \wedge \ldots \wedge H_{i_{j_s}})$  given by  $M = \mu \circ \mathbb{D}$ . The map  $R_i$  also extends to a spectrum of the form  $S^{-\zeta_i} \wedge G_{i_+}$  as follows. Let  $\llbracket -1 \rrbracket$  denote the involution on  $S^{-\zeta_i} = \operatorname{Map}(S^{\mathfrak{g}_i}, S^r)$  induced by conjugation with the antipode map on  $\mathfrak{g}_i$  and  $\mathbb{R}^r$ . We define  $R_i$  on  $S^{-\zeta_i} \wedge G_{i_+}$  to be the involution given by smashing  $Ad(g_i^{-1})_* \circ \llbracket -1 \rrbracket$  on  $S^{-\zeta_i}$ , with the inversion map on  $G_{i_+}$ .

We now define the natural equivalence R from  $\mathscr{B}(w_J)$  to  $\mathscr{B}(w_{J^R})$  as

$$R:\mathscr{B}(w_J)\longrightarrow \mathscr{B}(w_{J^R}), \quad R:=(R_{i_{j_s}}\wedge_T R_{i_{j_{s-1}}}\wedge_T \ldots \wedge_T R_{i_{j_1}})\circ M.$$

It is straightforward to check from the construction that R is well-defined and indeed a natural equivalence of functors.

# 7. Properties of strict broken symmetries: G = U(r) and the Markov 2 property

In this section, we specialize to the case of the compact Lie group G = U(r), whose braid group Br(r) is the classical braid group on *r*-strands generated by the elementary classical braids  $\sigma_1, \ldots, \sigma_{r-1}$ .

The Markov 2 property studies the effect of taking a braid word in r-strands, and extending it to a braid word in (r + 1)-strands by augmenting it by the generator  $\sigma_r \in Br(r + 1)$ . Since we will compare the spectra of broken symmetries for U(r) and U(r+1), we see that the Markov 2 property introduces a stabilization in the strands. In order to be keep track of the number of strands, let us set some notation. For  $i \leq r$ , we will use the notation  $G_i^r \subseteq U(r + 1)$  to be the unitary form (of rank r + 1) in the reductive Levi subgroup with roots  $\pm \alpha_i$ . We will continue to use the notation  $G_i \subseteq U(r)$  for the unitary form of rank r. Notice that for i < r, these subgroups of U(r) and U(r + 1) are related via a block decomposition  $G_i^r = G_i \times S^1$ , where  $S^1$  denotes the last factor of the product decomposition of the standard maximal torus  $T^{r+1} \subset U(r + 1)$ .

Let  $\Delta_r \subset T^{r+1}$  denote the centralizer of the final simple root  $\alpha_r$ . More precisely,  $\Delta_r$  is the subgroup  $T^{r-1} \times \Delta$ , where  $\Delta$  is the diagonal circle in the last two standard factors of  $T^{r+1}$ . We may re-express  $T^{r+1}$  as  $\Delta_r \times S^1$ , with  $S^1$  being identified with the last factor in the standard decomposition of  $T^{r+1}$ . By construction,  $\Delta_r$  centralizes the group  $G_r^r$ .

The goal of this section is to establish the following two theorems.

**Theorem 7.1.** Let  $I = {\epsilon_1 i_1, \dots, \epsilon_k i_k}$  denote a sequence that offers a presentation for a braid element  $w \in Br(r)$ , and let I(r) denote the sequence obtained by augmenting I by the index  $i_{k+1} = r$ . In other words, I(r) is a presentation for the braid element  $w\sigma_r \in Br(r+1)$ . Then there is an elementary quasi-equivalence of U(r+1)-spectra

$$s\mathscr{B}(w_{I(r)}) \longrightarrow \mathrm{U}(r+1)_+ \wedge_{\Delta_r} \Sigma^2 s\mathscr{B}_{T^r}(w_I),$$

where the action of  $\Delta_r$  on  $s\mathscr{B}_{T^r}(w_I)$  is induced by the canonical isomorphism between  $\Delta_r$  and  $T^r$  given by dropping the last coordinate in  $\Delta_r$ .

**Theorem 7.2.** Let  $I = {\epsilon_1 i_1, \dots, \epsilon_k i_k}$  denote a sequence that offers a presentation for a braid element  $w \in Br(r)$ , and let I(-r) denote the sequence obtained by augmenting I by the index  $i_{k+1} = -r$ . In other words, I(-r) is a presentation for the braid element  $w\sigma_r^{-1} \in Br(r+1)$ . Then there is an elementary quasi-equivalence of U(r+1)-spectra

$$s\mathscr{B}(w_{I(-r)}) \longrightarrow \mathrm{U}(r+1)_+ \wedge_{\Delta_r} \Sigma^{-1} s\mathscr{B}_{T^r}(w_I).$$

The proof of theorem 7.1 rests on the following claim

**Claim 7.3.** Let  $J \subseteq I$  denote a subsequence  $J = \{\epsilon_{j_1}i_{j_1}, \ldots, \epsilon_{j_s}i_{j_s}\}$ . Regarding J as a subsequence of I(r), let  $J(r) \subseteq I(r)$  denote the sequence  $J \cup \{i_{k+1}\}$ . Then there is a cofibration of U(r+1)-equivariant spectra induced by the inclusion  $J \subset J(r)$ 

$$\mathscr{B}(w_J) \longrightarrow \mathscr{B}(w_{J(r)}) \longrightarrow \mathrm{U}(r+1)_+ \wedge_{\Delta_r} \Sigma^2 \mathscr{B}_{T^r}(w_J)_+$$

The action of  $\Delta_r$  on  $\mathscr{B}_{T^r}(w_J)$  is induced by the canonical isomorphism between  $\Delta_r$  and  $T^r$  given by dropping the last coordinate in  $\Delta_r$ .

*Proof.* Let  $T^{r+1} \subset G_r^r \subset U(r+1)$  denote the inclusion of the standard maximal torus  $(S^1)^{\times r+1}$ . Notice that we have a cofibration of  $T^{r+1} \times T^{r+1}$ -equivariant spectra

(1) 
$$T^{r+1}_{+} \longrightarrow G^{r}_{r+} \longrightarrow S^{\zeta_{r}} \wedge (T^{r+1}\sigma_{r})_{+},$$

where  $\sigma_r$  is the permutation matrix in U(r + 1) that permutes the last two standard coordinates of  $T^{r+1}$ , so that  $T^{r+1}\sigma_r$  is a  $T^{r+1} \times T^{r+1}$ -space abstractly isomorphic to  $T^{r+1}$ , with the right  $T^{r+1}$ -action being twisted by  $\sigma_r$ . As before,  $S^{\zeta_r}$  is the compactification of the root space representation of the root  $\alpha_r$ , and is given a trivial right  $T^{r+1}$  action.

Smashing equation (1),  $T^{r+1}$ -equivariantly, with the spectra  $\mathscr{B}_{T^{r+1}}(w_J)$ , we get a cofibration

(2) 
$$\mathscr{B}(w_J) \longrightarrow \mathscr{B}(w_{J(r)}) \longrightarrow \mathrm{U}(r+1)_+ \wedge_{T^{r+1}} (H^r_{i_{j_1}} \wedge_{T^{r+1}} \cdots \wedge_{T^{r+1}} H^r_{i_{j_s}} \wedge S^{\zeta_r} \wedge \sigma_{r+}).$$

Decomposing  $T^{r+1}$  as  $\Delta_r \times S^1$ , and observing that the right action of  $\Delta_r$  fixes the spectrum  $S^{\zeta_r}$  and commutes with  $\sigma_r$ , we may express the above cofiber as

$$(\mathrm{U}(r+1)_{+}\wedge_{\Delta_{r}}\wedge(H^{r}_{i_{j_{1}}}\wedge_{T^{r+1}}\cdots\wedge_{T^{r+1}}H^{r}_{i_{j_{s}}})\wedge S^{\zeta_{r}}\wedge\sigma_{r+})\wedge_{S^{1}}S^{0}$$

Recall that the  $S^1$ -action on  $(H_{i_{j_1}}^r \wedge_{T^{r+1}} \cdots \wedge_{T^{r+1}} H_{i_{j_s}}^r) \wedge \sigma_{r+}$  is by endpoint conjugation. Incorporating the twisting by  $\sigma_r$  on the right allows us to identify the above  $S^1$ -spectrum with  $(H_{i_{j_1}}^r \wedge_{T^{r+1}} \cdots \wedge_{T^{r+1}} H_{i_{j_s}}^r)$ , with the  $S^1$ -action given by twisting the conjugation action by  $\sigma_r$  on the right hand side. This twisted conjugation action can be identified with the standard right multiplication action of the conjugate diagonal subgroup  $S^1 \cong \overline{\Delta} \subseteq T^{r+1}$  consisting of elements of the form  $(x^{-1}, x)$  in the last two factors. Recall that for  $i \in I$ , we have a block decomposition  $G_j^r = G_r \times S^1$ . It follows that all the spectra  $H_i^r$  that occur above are free  $S^1$ -spectra of the form  $H_i \wedge S_+^1$ , where  $H_i$  denotes the corresponding spectra when J is seen as a subset of I. We may therefore express  $(H_{i_{j_1}}^r \wedge_{T^{r+1}} \cdots \wedge_{T^{r+1}} H_{i_{j_s}}^r)$  as  $(H_{i_{j_1}} \wedge_{T^r} \cdots \wedge_{T^r} H_{i_{j_s}} \wedge S_+^1)$ . In particular, the cofiber of equation 2 can be identified with

$$(\mathbb{U}(r+1)_+ \wedge_{\Delta_r} (H_{i_{j_1}} \wedge_{T^r} \cdots \wedge_{T^r} H_{i_{j_s}}) \wedge S^{\zeta_r}) \wedge_{\overline{\Delta}} S^1_+)$$

Since the  $\overline{\Delta}$ -action is free on the  $S^1$ -factor, we may drop the free  $S^1$ -factor and identify the above spectrum with

(3) 
$$U(r+1)_+ \wedge_{\Delta_r} \Sigma^2(H_{i_{j_1}} \wedge_{T^r} \cdots \wedge_{T^r} H_{i_{j_s}}).$$

Putting equation 2 and the identification 3 together, gives rise to the cofibations of U(r+1)-spectra that we seek

$$\mathscr{B}(w_J) \longrightarrow \mathscr{B}(w_{J(r)}) \longrightarrow \mathrm{U}(r+1)_+ \wedge_{\Delta_r} \Sigma^2 \mathscr{B}_{T^r}(w_J).$$

Let us use the above claim to prove theorem 7.1. Let us first recall the categories used in defining the spectra  $s\mathscr{B}(w_{I(r)})$ .

# **Definition 7.4.** (The poset $\mathcal{I}(r)$ and the functor $\mathscr{B}^{r}(w_{J})$ )

Let  $\mathcal{I}(r) \subset 2^{I(r)}$  denote the poset subcategory of subsets in I(r) that do not contain the terminal object. Consider the functor  $\mathscr{B}^r$  from  $\mathcal{I}(r)$  to U(r+1)-spectra that sends  $J \in \mathcal{I}(r)$  to  $\mathscr{B}(w_{J\cap I})$ . It is clear that  $\mathscr{B}(w_J) = \mathscr{B}^r(w_J)$  if  $J \subseteq I$ . In particular, the above functor is a natural extension of the functor  $\mathscr{B}$  on  $2^I$ . Let us also observe that one has a canonical natural transformation  $\mathcal{T}: \mathscr{B}^r \longrightarrow \mathscr{B}$  induced by the inclusions  $J \cap I \subset J(r)$ .

Now consider the following commutative diagram

$$\begin{array}{c} \operatorname{hocolim}_{J \in \mathcal{I}(r)^{t}} \mathscr{B}^{r}(w_{J}) & \longrightarrow \mathscr{B}^{r}(w_{I}+) \\ & \downarrow \\ \operatorname{hocolim} \mathcal{T} & \downarrow \\ \operatorname{hocolim}_{J \in \mathcal{I}(r)^{t}} \mathscr{B}(w_{J}) & \longrightarrow \mathscr{B}(w_{I(r)^{+}}) \\ & \downarrow & \downarrow \\ \operatorname{hocolim}_{J \in \mathcal{I}^{t}} \operatorname{U}(r+1)_{+} \wedge_{\Delta_{r}} \Sigma^{2} \mathscr{B}_{T^{r}}(w_{J}) & \longrightarrow \operatorname{U}(r+1)_{+} \wedge_{\Delta_{r}} \Sigma^{2} \mathscr{B}_{T^{r}}(w_{I^{+}}). \end{array}$$

It is clear from claim 7.3 that the right vertical sequence is a cofibration. Let us notice that the left vertical sequence is also a cofibration. To see this, recall that the functor  $\mathscr{B}(w_J)$  agrees with the functor  $\mathscr{B}^r$  on the full sub-category generated by  $J \in \mathcal{I}(r)^t$  that do not contain  $i_{r+1}$ . This sub-category has a terminal object I. In particular, the cofiber of hocolim  $\mathcal{T}$  is detected on the full sub-category of objects J containing  $i_{r+1}$ . This category is equivalent to  $\mathcal{I}^t$ , and one may identify the cofiber with  $\operatorname{hocolim}_{J \in \mathcal{I}^t} \operatorname{U}(r+1)_+ \wedge_{\Delta_r}$  $\Sigma^2 \mathscr{B}_{T^r}(w_J)$  using claim 7.3. This shows that the left vertical sequence is a cofibration. Taking horizontal cofibers of the above diagram gives rise to a cofibration of filtered  $\operatorname{U}(r+1)$ spectra

$$s\mathscr{B}^r(w_{I(r)}) \xrightarrow{s\mathcal{T}} s\mathscr{B}(w_{I(r)}) \longrightarrow \mathrm{U}(r+1)_+ \wedge_{\Delta_r} \Sigma^2 s\mathscr{B}_{T^r}(w_I),$$

where the filtered U(r + 1)-spectrum  $s\mathscr{B}^r(w_{I(r)})$  is defined to have filtrates  $F_t s\mathscr{B}^r(w_{I(r)})$ given by the cofiber of the top horizontal map. It remains to show that  $s\mathscr{B}^r(w_{I(r)})$  is acyclic. From the definition of  $s\mathscr{B}^r(w_{I(r)})$ , the associated graded of the filtered U(r + 1)spectrum  $s\mathscr{B}^r(w_{I(r)})$  is easily computed to be

$$\operatorname{Gr}_t(s\mathscr{B}^r(w_{I(r)})) = \operatorname{Gr}_{t-1}(s\mathscr{B}(w_I)) \vee \operatorname{Gr}_t(s\mathscr{B}(w_I)),$$

with the differential identifying the obvious summands. The null homotopy is straightforward to construct, completing the proof of theorem 7.1.

The proof of theorem 7.2 is similar to the above and rests on the following claim similar to claim 7.3. We sketch the argument below, leaving the details to the reader

**Claim 7.5.** Let  $J \subseteq I$  denote a subsequence  $J = \{\epsilon_{j_1}i_{j_1}, \ldots, \epsilon_{j_s}i_{j_s}\}$ . Regarding J as a subsequence of I(-r), let  $J(-r) \subseteq I(-r)$  denote the sequence  $J \cup \{-i_{k+1}\}$ . Then there is a cofibration of U(r+1)-equivariant spectra induced by the map  $J(-r) \rightarrow J$ 

$$\mathscr{B}(w_{J(-r)}) \longrightarrow \mathscr{B}(w_J) \longrightarrow \mathrm{U}(r+1)_+ \wedge_{\Delta_r} \Sigma^{-1} \mathscr{B}_{T^r}(w_J).$$

The proof of this claim is formally the same as that of claim 7.3 and starts with the cofibration sequence of  $T^{r+1} \times T^{r+1}$  spectra induced by the inclusion  $T^{r+1}\sigma_r \subseteq G_r^r$ 

$$S^{-\zeta_r} \wedge (T^{r+1}\sigma_r)_+ \longrightarrow S^{-\zeta_r} \wedge G^r_{r+} \longrightarrow T^{r+1}_+ \longrightarrow \Sigma S^{-\zeta_r} \wedge (T^{r+1}\sigma_r)_+.$$

We leave it to the reader to complete the argument along the lines of claim 7.3.

The proof of theorem 7.2 is now very similar to that of theorem 7.1. One begins by defining a functor  $\mathscr{B}^{-r}$  from  $\mathcal{I}(-r)$  to U(r+1)-spectra that sends  $J \in \mathcal{I}(-r)$  to  $\mathscr{B}(w_{J\cup\{-i_{k+1}\}})$ . It is clear that  $\mathscr{B}(w_J) = \mathscr{B}^{-r}(w_J)$  if  $-i_{k+1} \in J$ . The rest of the proof follows from chasing a diagram similar to the one described in the proof of theorem 7.1. We leave it to the reader to complete the proof.

#### 8. The invariant $s\mathscr{B}(L)$ of links and the Galois symmetry

By now it it clear that the invariant  $s\mathscr{B}(w_I)$  that has been studied in the previous few sections enjoys several important properties. Theorem 4.2 shows that  $s\mathscr{B}(w_I)$  is equivalent to its cyclic permutation  $s\mathscr{B}(w_I)$ , which is known as the Markov 1 property. In theorem 2.11 we showed that  $s\mathscr{B}(w_I)$  did not depend on the indexing sequence I used to present the braid word w. And finally, in the case G = U(r), theorems 7.1 and 7.2 demonstrated that  $s\mathscr{B}(w_I)$  satisfied an interesting variant of the Markov 2 property.

Of particular importance is the Markov 2 property, which we turn our attention to for the moment. Recall that by theorems 7.1 and 7.2, we have elementary quasi-equivalences

$$s\mathscr{B}(w_{I(r)}) \longrightarrow \mathrm{U}(r+1)_{+} \wedge_{\Delta_{r}} \Sigma^{2} s\mathscr{B}_{T^{r}}(w_{I}),$$
$$s\mathscr{B}(w_{I(-r)}) \longrightarrow \mathrm{U}(r+1)_{+} \wedge_{\Delta_{r}} \Sigma^{-1} s\mathscr{B}_{T^{r}}(w_{I}).$$

The difference in the number of suspensions in these two equivalences is easily corrected when we normalize and consider the invariant  $s\mathscr{B}(w)$  of definition 2.10. A more subtle issue is that the invariant  $s\mathscr{B}(w_{I(\pm r)})$  is equivalent to the spectrum obtained by inducing the  $T^r$ -spectrum  $s\mathscr{B}_{T^r}(w_I)$  to a U(r + 1) spectrum, along a *non-standard* copy of the torus  $T^r \subset U(r + 1)$  given by  $\Delta_r$ . The following discussion describes how one may resolve this.

**Claim 8.1.** Let  $\mathbb{T}$  denote the circle group. Consider the injection

$$e_r: T^r \longrightarrow \mathbb{T} \times \mathrm{U}(r), \quad (t_1, \dots, t_r) \longmapsto (t_r, \Delta[t_1 t_r^{-1}, t_2 t_r^{-1}, \dots, t_{r-1} t_r^{-1}, 1]),$$

where  $\Delta[t_1t_r^{-1}, t_2t_r^{-1}, \ldots, t_{r-1}t_r^{-1}, 1]$  denotes the diagonal subgroup of U(r) with the corresponding entries. Given an indexing set of the form  $I = \{i_1, \ldots, i_k\}$ , define the  $e_r$ -lift of broken symmetries  $\mathscr{B}(w_I, e_r)$  to be the  $\mathbb{T} \times U(r)$ -space  $\mathscr{B}(w_I, e_r) := (\mathbb{T} \times U(r)) \times_{e_r} \mathscr{B}_T(w_I)$ . Then one has a canonical equivalence of  $\mathbb{T} \times U(r+1)$ -spaces

$$(\mathbb{T} \times \mathrm{U}(r+1)) \times_{e_{r+1} \circ \Delta_r} \mathscr{B}_T(w_I) = (\mathbb{T} \times \mathrm{U}(r+1)) \times_{\mathbb{T} \times \mathrm{U}(r)} \mathscr{B}(w_I, e_r).$$

Identifying  $\mathbb{T}$  with the center of U(r), consider the homomorphism induced by group multiplication in U(r),  $m : \mathbb{T} \times U(r) \longrightarrow U(r)$ . Let K(m) denote the kernel of m. Then  $\mathscr{B}(w_I, e_r)$  is a free K(m)-space, and the map m extends to an equivalence of stacks

$$\mathscr{B}(w_I, e_r) = (\mathbb{T} \times \mathrm{U}(r)) \times_{e_r} \mathscr{B}_{T^r}(w_I) \longrightarrow \mathrm{U}(r) \times_{T^r} \mathscr{B}_{T^r}(w_I) = \mathscr{B}(w_I).$$

*Proof.* The first part of the claim, including freeness of  $\mathscr{B}(w_I, e_r)$  as a K(*m*)-space, is straightforward to verify. To see the equivalence of stacks, one simply needs to observe that the composite map  $m \circ e_r : T^r \longrightarrow U(r)$  is the standard inclusion.

As an immediate corollary of the above claim, we see

**Corollary 8.2.** The  $e_r$ -lift of (strict) broken symmetries  $s\mathscr{B}(w_I, e_r) := (\mathbb{T} \times U(r))_+ \wedge_{e_r} s\mathscr{B}_T(w_I)$ is invariant under the second Markov move. Let K(m) be the kernel of the multiplication map m. Then  $s\mathscr{B}(w_I, e_r)$  is a filtered free K(m)-spectrum, and we have an equivalence of spectra induced along m

$$\mathrm{U}(r)_+ \wedge_{\mathbb{T}\times\mathrm{U}(r)} s\mathscr{B}(w_I, e_r) \cong s\mathscr{B}(w_I).$$

**Convention 8.3.** We will continue to work with the model of strict broken symmetries given by

$$s\mathscr{B}(w_I) = \mathrm{U}(r)_+ \wedge_{T^r} s\mathscr{B}_{T^r}(w_I),$$

with the understanding that one must replace it with the  $\mathbb{T} \times U(r)$ -spectrum  $s\mathscr{B}(w_I, e_r)$  as in corollary 8.2 in order for the invariance under the second Markov move to be manifest.

# **Definition 8.4.** (*sB* as an invariant of links)

*Given a link L described by the closure of a braid word on* r *strands, define the normalized, filtered* U(r)*-equivariant spectrum as described in* **2***.***10** 

$$s\mathscr{B}(L) := s\mathscr{B}(w) = \Sigma^{l(w_I)} s\mathscr{B}(w_I)[\varrho_I],$$

where  $w \in Br(r)$  is any braid with presentation  $w_I$ , that represents the link L. This normalization corrects for the filtration shifts and suspensions that one encounters in proving invariance under the various properties. This topological normalization may differ from other algebraic normalizations, see remark 8.6.

Having verified all the required properties: braid invariance, invariance under the two Markov moves as well as inversion, we conclude

**Theorem 8.5.** As a function of a link L that is described by the closure of a braid word on r-strands, the filtered U(r)-spectrum  $s\mathscr{B}(L)$  is well-defined up to quasi-equivalence. In particular, the limiting equivariant stable homotopy type  $s\mathscr{B}_{\infty}(L)$  is a well-defined link invariant.

**Remark 8.6.** We make note here that the normalization of the spectrum  $s\mathscr{B}(L)$  we have provided in definition 8.4 is purely topological in nature, and may differ from the standard normalization for link invariants once we identify the cohomology of  $s\mathscr{B}(L)$  with such an invariant. The table given in definition 8.8 indicates how the topological normalization is sensitive to the various cohomology theories we will consider in the sequel.

At this point we come to an interesting symmetry that is compatible with the constructions of the previous chapters, and consequently descends to  $s\mathscr{B}(L)$ . This symmetry is defined by the Galois action, denoted by  $\sigma$ , given by complex conjugation on the spaces  $\mathscr{B}(w_I)$ , and the bundles  $\zeta_i$ . Let us study this symmetry in some detail.

Given a positive sequence *I*, we define the  $\sigma$  action on  $\mathscr{B}(w_I)$  as

$$\sigma[(g, g_{i_1}, \ldots, g_{i_k})] := [(\overline{g}, \overline{g}_{i_1}, \ldots, \overline{g}_{i_k})],$$

where  $\overline{h}$  denotes the complex conjugation of the element  $h \in U(r)$ . Since complex conjugation is an automorphism of U(r) that preserves all the compact subgroups  $G_i$ , we see that  $\sigma$  gives rise to an (anti linear) automorphism of the U(r)-space  $\mathscr{B}(w_I)$ .

Now recalling the definition 2.3 of the Thom spectrum  $S^{-\zeta_i}$  as  $\Sigma^r S^{-\mathfrak{g}_i}$ , we may define  $\sigma$  to act on  $S^{-\zeta_i}$  by smashing the antipode action on  $\mathbb{R}^r$ , with the dual of the action induced by complex conjugation on  $S^{\mathfrak{g}_i}$ . Recall that as a real virtual *T*-representation  $\zeta_i$  is isomorphic to the root space representation for the root  $\alpha_i$ . As such,  $\sigma$  can be identified with the canonical automorphism of  $\zeta_i$  that acts by complex conjugation on the root space.

It follows from the above observation that  $\sigma$  extends to an anti-linear automorphism of the U(*r*)-spectrum  $\mathscr{B}(w_I)$  for arbitrary words *I*. One may verify that the constructions leading to the filtered spectrum  $s\mathscr{B}(w_I)$  are natural with respect to  $\sigma$ . In particular,  $\sigma$  extends to a Galois symmetry acting on  $s\mathscr{B}(w_I)$ .

**Remark 8.7.** Let us explicitly describe how one normalizes the action of  $\sigma$  on  $s\mathscr{B}(w_I)$  so that the link invariant  $s\mathscr{B}(L)$  is compatible with the Galois action. For this, one recalls that the normalization by the suspension  $\Sigma^{l(w_I)}$  is dictated by the suspensions appearing in theorems 7.1 and 7.2. On unraveling the source of suspensions, we see that one must identify the suspension  $\Sigma^{l(w_I)}$  as  $\Sigma^{-l_-(w_I)} \wedge \mathbb{C}^{l_+(w_I)}_+ \wedge \mathbb{C}^{-l_+(w_I)}_+$ , with  $\sigma$  acting by complex conjugation on the last two factors, and where  $\mathbb{C}^k_+$  denotes the one-point compactification of the complex vector space  $\mathbb{C}^k$ .

Now let  $E_G$  denote a family of equivariant cohomology theories indexed by the collection G = U(r), with  $r \ge 1$ , and compatible under restriction

$$E_{U(r)} \cong \iota^* E_{U(r+1)}, \text{ where } \iota : U(r) \longrightarrow U(r+1).$$

We do not assume that E is multiplicative for now. In the case of multiplicative theories, one will require some more structure (see [7] section 4).

# **Definition 8.8.** (ISN-Type equivariant cohomology theories)

Given a family of equivariant cohomology theories  $\{E_{U(r)}, r \ge 1\}$  as above, we call them ISNtype theories if the elementary quasi-equivalences flagged in remark 6.5 (called B-type maps), and those of 7.1 and 7.2 (called M2a and M2b-type maps resp.) induce injective, surjective or null maps on the associated graded complex. For such theories, claims 3.6 and 3.7 show that the quasiisomorphism type of the bi-complex  $E_{U(r)}^s(\operatorname{Gr}_t(s\mathscr{B}(L)))$  is well defined up to a shift in bi-degree. By claim 3.7 and remark 3.2, we see that the shift will depend only on the number of elementary quasi-equivalences between two braid presentations of the link L that induce null maps on the associated graded complex.

Below we list how the cohomology theories we consider in [7] are expected to behave under the B, M2a and M2b-type elementary quasi-equivalences above.

Equivariant cohomology Theory	B-type maps	M2a-type maps	M2b-type maps
Singular Coh. (untwisted) [7]	Injective	Null	Null
Singular Coh. (twisted) [7]	Injective (†)	Null (†)	Injective (†)
K-theory (twisted) [7]	Injective (†)	Null (†)	Injective (†)

† signifies that we expect the property to hold but we have not shown it to be true in [7].

Given an ISN-type equivariant cohomology theory, an obvious strategy to construct group valued link invariants from  $s\mathscr{B}(L)$  is to study the spectral sequence that computes the cohomology  $E^*_{U(r)}(s\mathscr{B}_{\infty}(L))$  by virtue of the filtration. The  $E_2$ -term of this spectral sequence is the cohomology of the complex  $E^*_{U(r)}(Gr_t(s\mathscr{B}(L)))$ , described in example 3.3.

**Theorem 8.9.** Assume that  $E_{U(r)}$  is a family of ISN-type U(r)-equivariant cohomology theories. Then, given a link L described as a closure of a braid w on r-strands as above, one has a cohomologically graded spectral sequence converging to  $E^*_{U(r)}(s\mathscr{B}_{\infty}(L))$  and with  $E_1$ -term given by

$$E_1^{t,s} = \bigoplus_{J \in \mathcal{I}^t/\mathcal{I}^{t-1}} E_{\mathrm{U}(r)}^s(\mathscr{B}(w_J)) \implies E_{\mathrm{U}(r)}^{s+t+l(w_I)}(s\mathscr{B}_{\infty}(L)).$$

The differential  $d_1$  is the canonical simplicial differential induced by the functor described in definition 2.6. In addition, the terms  $E_q(L)$  are invariants of the link L for all  $q \ge 2$ .

**Remark 8.10.** We notice that the Galois symmetry  $\sigma$  descends to a symmetry of each page of the spectral sequence above. In other words, the link invariants  $E_q(L)$  admit an extra symmetry given by the involution  $\sigma$ .

# 9. The *p*-completion, Étale homotopy type and the Frobenius

In this very brief section, we point out a piece of algebraic structure that extends the Galois symmetry described in the previous section. This structure appears on *p*-completing our constructions. We have kept this section brief since it deviates from the general geometric flavor of the arguments we have been describing in this document. We plan to return to this structure in the future.

Let us revert back to a general compact connected Lie group G, and assume that it is the unitary form of the complex points of a Chevalley group scheme  $G_{\mathbb{Z}}$ . For instance, we may take  $G_{\mathbb{Z}}$  to be  $GL(r)_{\mathbb{Z}}$  in the case G = U(r). The groups  $G_i$  in definition 2.1 admit  $\mathbb{Z}$ -forms given by the corresponding split reductive Levi factors. It follows that for positive sequences I, the spaces of broken symmetries  $\mathscr{B}(w_I)$  and the Bott-Samelson spaces  $\mathcal{B}t\mathcal{S}(w_I) := \mathscr{B}(w_I)/T$  also admit  $\mathbb{Z}$ -forms  $\mathscr{B}_{\mathbb{Z}}(w_I)$  and  $\mathcal{B}t\mathcal{S}_{\mathbb{Z}}(w_I)$  resp.

Now Étale homotopy theory [5] allows us to compare the Étale homotopy type of schemes over the algebraic closure  $\overline{\mathbb{F}}_q$ , with the analytic space of complex points after *p*-completion at any prime  $p \neq q$ . It follows from these ideas that the *p*-completion of their respective suspension spectra are also equivalent. In particular, we recover the action of the Frobenius automorphism  $\psi_q$  on the *p*-complete spectrum  $L_{H\mathbb{Z}/p}\mathscr{B}(w_I)$ , and  $L_{H\mathbb{Z}/p}\mathscr{B}t\mathscr{S}(w_I)$  where  $L_{H\mathbb{Z}/p}$  denotes Bousfield localization with respect to mod-*p* homology.

Continuing to work with positive sequences I, the reader can confirm that maps used to show the braid invariance of  $\mathscr{B}(w_I)$  or  $\mathcal{B}t\mathcal{S}(w_I)$  (up to quasi-equivalence) are all algebraic. In particular, the action of the Frobenius  $\psi_q$  on p-complete spectra of broken symmetries extends to an action on the p-complete spectra of strict broken symmetries  $L_{H\mathbb{Z}/p}s\mathscr{B}(w_I)$ . Since one can think of the diagram that defines  $s\mathscr{B}(w_I)$  as the diagram of complex points of a simplicial scheme defined over  $\mathbb{Z}$ , we conclude

**Theorem 9.1.** Let I be a positive sequence, and let  $p \neq q$  be distinct primes, then the stable p-completion of the Étale homotopy type of the simplicial scheme  $s\mathscr{B}_{\mathbb{F}_q}(w_I)$  or the corresponding Rouquier complex  $s\mathcal{B}t\mathcal{S}_{\mathbb{F}_q}(w_I)$ , is invariant under braid relations in the presentation  $w_I$  up to quasi-equivalence in the category of p-complete spectra endowed with an action of a (Frobenius) automorphism  $\psi_q$ .

#### 10. Appendix

We review the construction and properties of the homotopy colimit of small diagrams of *G*-spectra. The standard reference is the last few chapters of [2], though the reader may find several very helpful modern sources (for instance [4]).

Let  $\mathscr{F}$  denote a functor from a small category  $\mathcal{C}$  to the category  $G\mathscr{S}$  of G-spectra

$$\mathscr{F}:\mathcal{C}\longrightarrow G\mathscr{S}.$$

Then  $\mathscr{F}$  gives rise to a simplicial *G*-spectrum which we denote by  $N_{\bullet}(\mathscr{F})$ , whose *k*-simplices  $N_k(\mathscr{F})$  are defined to be the *G*-spectrum

$$N_k(\mathscr{F}) = \bigvee_{i_0 \to i_1 \to \dots \to i_k} \mathscr{F}(i_0), \quad \text{for } k > 0, \qquad N_0(\mathscr{F}) = \bigvee_{i \in Ob(\mathcal{C})} \mathscr{F}(i)$$

where  $i_0 \rightarrow i_1 \rightarrow \cdots \rightarrow i_k$  denotes all possible length k sequences of composable morphisms in C, and  $\mathscr{F}(i_0)$  denotes the value of the functor  $\mathscr{F}$  on the initial object  $i_0$  of the sequence. The simplicial maps are induced by their counterparts in the nerve  $N_{\bullet}(C)$  of the category C. More precisely, each of the k + 1 face maps from  $N_k(\mathscr{F})$  to  $N_{k-1}(\mathscr{F})$  is given by either one of the two maps induced by dropping the terminal morphisms, or by the composition of any of the k - 1 sequential pairs of morphisms in the length k sequence of composable morphisms. The k + 1 degeneracy maps from  $N_k(\mathscr{F})$  to  $N_{k+1}(\mathscr{F})$  are given by the insertion of the identity morphism in the k + 1 possible spots.

A simplicial *G*-spectrum can be described as a contravariant functor from the category of ordered finite sets to  $G\mathscr{S}$ . As such, the spectrum  $N_k(\mathscr{F})$  can be identified with the value of this functor on the set [k] of integers  $\{0, \dots, k\}$  in increasing order. The k + 1 face and degeneracy maps described above then correspond to the order preserving injective maps  $[k-1] \rightarrow [k]$  and the order preserving surjective maps  $[k+1] \rightarrow [k]$  respectively. The homotopy colimit of  $\mathcal{F}$  is then defined as the geometric realization of the simplicial *G*-spectrum  $N_{\bullet}(\mathscr{F})$ . In order to describe this geometric realization, notice that there is a canonical (covariant) functor  $\Delta_{\bullet}$  from the category of finite ordered sets to spaces, whose value on the set [k] is given by the geometric *k*-simplex  $\Delta_k$ . By identifying the vertices of  $\Delta_k$  with the elements of [k], one obtains canonically induced affine maps between the spaces  $\Delta_k$  that correspond to face and degeneracy maps.

### **Definition 10.1.** (Homotopy colimit)

The homotopy colimit of a functor  $\mathcal{F} : \mathcal{C} \longrightarrow G\mathscr{S}$  is defined as the *G*-spectrum given by the coequalizer (also known as the geometric realization of the simplicial spectrum  $N_{\bullet}(\mathscr{F})$ )

$$\bigvee_{[k]\to[l]} N_l(\mathscr{F}) \wedge (\Delta_k)_+ \Longrightarrow \bigvee_n N_n(\mathscr{F}) \wedge (\Delta_n)_+ \longrightarrow \operatorname{hocolim}_{\mathcal{C}} \mathscr{F}_+$$

where the two maps are given by applying the order preserving map  $[k] \rightarrow [l]$  to the two arguments of  $N_l(\mathscr{F}) \wedge (\Delta_k)_+$  respectively.

The categories C that are relevant to us in this document are very special. These categories have the shape of finite posets  $\mathcal{P}$ , such that their opposite poset  $\overline{\mathcal{P}}$  is an unaugmented<sup>2</sup> finite CW poset [1] (Section 3). More precisely, given such a poset  $\mathcal{P}$ , there is a unique

<sup>&</sup>lt;sup>2</sup>The posets in [1] are augmented by adding a minimal object for the sake of convenience

regular finite CW complex  $X_{\mathcal{P}}$ , so that the poset of cells (under inclusion of their closures) is equivalent to  $\overline{\mathcal{P}}$ . Furthermore, under this equivalence, cells of dimension k in  $X_{\mathcal{P}}$  are indexed by elements  $p \in \mathcal{P}$  such that the longest nondegenerate ascending chain of morphisms in  $\mathcal{P}$  starting with p has length k. In fact, any maximal nondegenerate ascending chain starting with p has length k. Let us call this integer k the dimension of p and denote that by |p| = k.

**Remark 10.2.** *Given two finite posets*  $\mathcal{P}$  *and*  $\mathcal{Q}$ *, so that*  $\overline{\mathcal{P}}$  *and*  $\overline{\mathcal{Q}}$  *are unaugmented* CW *posets, one may define a new finite poset called the join of*  $\mathcal{P}$  *and*  $\mathcal{Q}$ *, denoted by*  $\mathcal{P} \circledast \mathcal{Q}$ *, whose opposite poset is also a* CW *poset* 

$$\mathcal{P} \circledast \mathcal{Q} := \{\mathcal{P} \cup \infty\} \times \{\mathcal{Q} \cup \infty\} - (\infty, \infty)$$

where  $\mathcal{P} \cup \infty$  denotes the poset obtained by adding a terminal object  $\infty$  to  $\mathcal{P}$  (see [14], Proposition 1.1). The finite regular CW complex corresponding to  $\mathcal{P} \oplus \mathcal{Q}$  is given by the standard (regular) CW structure on the join  $X_{\mathcal{P}} \star X_{\mathcal{Q}}$ .

Let us now consider the homotopy colimit of a functor  $\mathscr{F} : \mathcal{P} \longrightarrow G\mathscr{S}$ , where  $\overline{\mathcal{P}}$  is an unaugmented CW poset. Definition 10.1 describes  $\operatorname{hocolim}_{\mathcal{P}} \mathscr{F}$  as a coequalizer

$$\bigvee_{[k]\to[l]}\bigvee_{i_0\to i_1\to\cdots\to i_l}\mathscr{F}(i_0)\wedge(\Delta_k)_+ \rightrightarrows \bigvee_n \bigvee_{i_0\to i_1\to\cdots\to i_n}\mathscr{F}(i_0)\wedge(\Delta_n)_+ \longrightarrow \operatorname{hocolim}_{\mathcal{P}}\mathscr{F},$$

For  $k \geq 1$ , consider the full subcategory  $\mathcal{P}^k \subseteq \mathcal{P}$  of elements  $p \in \mathcal{P}$  such that |p| < k. We may restrict  $\mathscr{F}$  to a functor  $\mathscr{F}^k$  on  $\mathcal{P}^k$ . It is clear that the face and degeneracy maps preserve the simplicial *G*-spectrum  $N_{\bullet}(\mathscr{F}^k)$ , which is a levelwise summand in  $N_{\bullet}(\mathscr{F})$ . It follows that one has an increasing filtration  $F_k(\operatorname{hocolim}_{\mathcal{P}}(\mathscr{F}))$  of  $\operatorname{hocolim}_{\mathcal{P}}\mathscr{F}$  defined for  $k \geq 1$ , and given by

 $F_k(\operatorname{hocolim}_{\mathcal{P}}(\mathscr{F})) := \operatorname{hocolim}_{\mathcal{P}^k} \mathscr{F}^k.$ 

Using the same argument as in [1] (Proposition 3.1), we see that  $F_{k+1}(\operatorname{hocolim}_{\mathcal{P}}(\mathscr{F}))$  is obtained inductively, starting with  $F_1(\operatorname{hocolim}_{\mathcal{P}}(\mathscr{F})) = \bigvee_{p \in \mathcal{P}, |p|=0} \mathscr{F}(p)$ , and constructing  $F_{k+1}(\operatorname{hocolim}_{\mathcal{P}}(\mathscr{F}))$  as a pushout diagram

where  $X_{\mathcal{P}_p}$  is the regular CW complex that corresponds to the subcategory  $\mathcal{P}_p \subseteq \mathcal{P}$  of objects over p and  $\partial X_{\mathcal{P}_p}$  denotes the subcomplex of  $X_{\mathcal{P}_p}$  corresponding to the subcategory  $\mathcal{P}_p \cap \mathcal{P}^k$ . The top horizontal and left vertical maps are the canonical maps induced by the inclusion of the subcategories  $(\mathcal{P}_p \cap \mathcal{P}^k) \subseteq \mathcal{P}_p$  and  $(\mathcal{P}_p \cap \mathcal{P}^k) \subseteq \mathcal{P}^k$  respectively. In fact,  $X_{\mathcal{P}_p}$  is homeomorphic to a closed k-disc, and  $\partial X_{\mathcal{P}_p}$  is homeomorphic to its boundary sphere of dimension k - 1. It follows there is a cofiber sequence of the form

$$F_k(\operatorname{hocolim}_{\mathcal{P}}(\mathscr{F})) \longrightarrow F_{k+1}(\operatorname{hocolim}_{\mathcal{P}}(\mathscr{F})) \longrightarrow \bigvee_{p \in \mathcal{P}, |p|=k} \Sigma^k \mathscr{F}(p).$$

We package the above observations as the following useful theorem.

**Theorem 10.3.** Let  $\mathcal{P}$  be a finite poset so that  $\overline{\mathcal{P}}$  is an unaugmented CW poset. Then given any functor  $\mathscr{F} : \mathcal{P} \longrightarrow G\mathscr{S}$ , the homotopy colimit hocolim<sub> $\mathcal{P}$ </sub>  $\mathscr{F}$  admits an increasing filtration

 $F_k(\operatorname{hocolim}_{\mathcal{P}}(\mathscr{F})) := \operatorname{hocolim}_{\mathcal{P}^k} \mathscr{F}^k, \quad for \ k \ge 1, \ with \quad F_1(\operatorname{hocolim}_{\mathcal{P}}(\mathscr{F})) = \bigvee_{p \in \mathcal{P}, |p| = 0} \mathscr{F}(p).$ 

*Furthermore, one may construct*  $F_{k+1}(\text{hocolim}_{\mathcal{P}}(\mathscr{F}))$  *inductively as a pushout* 

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where  $X_{\mathcal{P}_p}$  is the regular CW complex that corresponds to the subcategory  $\mathcal{P}_p \subseteq \mathcal{P}$  of objects over p, and  $\partial X_{\mathcal{P}_p}$  denotes the subcomplex of  $X_{\mathcal{P}_p}$  corresponding to the subcategory  $\mathcal{P}_p \cap \mathcal{P}^k$ . The top horizontal and left vertical maps are the canonical maps induced by the inclusion of the subcategories  $(\mathcal{P}_p \cap \mathcal{P}^k) \subseteq \mathcal{P}_p$  and  $(\mathcal{P}_p \cap \mathcal{P}^k) \subseteq \mathcal{P}^k$  respectively. By [1] (Proposition 3.1),  $X_{\mathcal{P}_p}$ is homeomorphic to the k-disc, with  $\partial X_{\mathcal{P}_p}$  being its boundary. In particular, there is a cofiber sequence of the form

$$F_k(\operatorname{hocolim}_{\mathcal{P}}(\mathscr{F})) \longrightarrow F_{k+1}(\operatorname{hocolim}_{\mathcal{P}}(\mathscr{F})) \longrightarrow \bigvee_{p \in \mathcal{P}, |p|=k} \Sigma^k \mathscr{F}(p)$$

Theorem 10.3 reconstructs the homotopy colimit of a functor  $\mathscr{F}$  inductively in the same way that the underlying regular CW complex  $X_{\mathcal{P}}$  is constructed by attaching the cells  $X_{\mathcal{P}_p}$ . The only difference is that now one replaces  $X_{\mathcal{P}_p}$  with  $\mathscr{F}(p) \wedge (X_{\mathcal{P}_p})_+$ . Notice that if we were to subdivide the cells of  $X_{\mathcal{P}}$  so as to refine it to another regular CW complex  $X_{\mathcal{R}}$ , where  $\mathcal{R}$  is a subdivision of the poset  $\mathcal{P}$ , one would clearly not change the homotopy colimit of  $\mathscr{F}$ , provided one extends  $\mathcal{F}$  to  $\mathcal{R}$  compatibly with the subdivision of posets. On the other hand, the filtration on the homotopy colimit would change as a result of this subdivision. We formally explore this process of subdivision in what follows.

#### **Definition 10.4.** (Subdivision of posets, compare [19] Section 7)

*Let*  $\mathcal{P}$  *and*  $\mathcal{R}$  *be finite posets so that*  $\overline{\mathcal{P}}$  *and*  $\overline{\mathcal{R}}$  *are unaugmented* CW posets. We say that  $\mathcal{R}$  *is a subdivision of*  $\mathcal{P}$  *if there exists a surjective map of posets* 

$$\pi: \mathcal{R} \longrightarrow \mathcal{P}$$

with the following property. Given  $p \in \mathcal{P}$ , let  $\mathcal{P}_p$  the subposet of objects over p, We demand that the regular CW subcomplex of  $X_{\mathcal{R}}$  corresponding to  $\pi^{-1}(\mathcal{P}_p)$  is a disc of dimension |p| with boundary being precisely those cells indexed by elements  $r \in \pi^{-1}(\mathcal{P}_p)$  such that  $|\pi(r)| < |p|$ .

**Remark 10.5.** It is not hard to see that given  $\mathcal{P}$  and  $\mathcal{R}$  as in definition 10.4,  $X_{\mathcal{R}}$  is a subdivision of the regular CW complex  $X_{\mathcal{P}}$  (as defined in [16]), which is also regular. Moreover, under this subdivision, the (open) cells of  $X_{\mathcal{R}}$  that belong to the interior of the cell indexed by  $p \in \mathcal{P}$  are precisely the ones indexed by the set  $\pi^{-1}(p) \subseteq \mathcal{R}$ .

**Example 10.6.** Consider the following instructive example of a subdivision that is relevant in the proof of theorem 5.1. We take  $\mathcal{P}_n$  to be the poset representing the standard regular CW decomposition of the *n*-disc, which we will denote by  $X_{\mathcal{P}_n}$  with one interior *n*-cell, and 2-hemispherical *k*-cells for all  $0 \le k < n$ . We define  $\mathcal{R}_{(n+1)}$  to be the poset given by the join •  $\textcircled{T}_n$  of the one-element poset • and the poset  $\mathcal{P}_n$ . By remark 10.2 we see that  $X_{\mathcal{R}_{(n+1)}}$  is a cone on  $X_{\mathcal{P}_n}$  or its topological join with a point,  $pt \star X_{\mathcal{P}_n}$ . One can describe  $X_{\mathcal{R}_{(n+1)}}$  as a subdivision of  $X_{\mathcal{P}_{(n+1)}}$  which has the following form. For  $0 < k \le n$ , one of the two open *k*-cells of  $X_{\mathcal{P}_{(n+1)}}$  gets subdivided into two *k*-cells, by introducing one interior (k-1)-cell called the separating cell. The closure relations for this subdivision are encapsulated by a map of posets  $\pi : \mathcal{R}_{(n+1)} \longrightarrow \mathcal{P}_{(n+1)}$  that satisfies the conditions given in definition 10.4. We describe such a map in definition 5.5.

**Theorem 10.7.** Let  $\pi : \mathcal{R} \longrightarrow \mathcal{P}$  be a be a subdivision as in definition 10.4 and assume that we are given a functor  $\mathscr{F} : \mathcal{P} \longrightarrow G\mathscr{S}$ . Then there is a map of filtered spectra, which is an equivalence on the global homotopy colimit

$$\iota : \operatorname{hocolim}_{\mathcal{P}} \mathscr{F} \xrightarrow{\simeq} \operatorname{hocolim}_{\mathcal{R}} \pi^* \mathscr{F}.$$

Furthermore, on the associated quotients,  $\iota$  induces the diagonal map for each  $p \in \mathcal{P}$  with |p| = k

$$\iota: \Sigma^k \mathscr{F}(p) \xrightarrow{\Delta} \bigvee_{r \in \pi^{-1}(p), |r|=k} \Sigma^k \mathscr{F}(p).$$

*Proof.* The inductive description of  $\operatorname{hocolim}_{\mathcal{P}} \mathscr{F}$  in theorem 10.3 shows that  $\operatorname{hocolim}_{\mathcal{R}} \pi^* \mathscr{F}$  is a refinement of  $\operatorname{hocolim}_{\mathcal{P}} \mathscr{F}$  and hence there is a map of filtered spectra, which is an equivalence on the global homotopy colimits

$$\iota : \operatorname{hocolim}_{\mathcal{P}} \mathscr{F} \xrightarrow{\simeq} \operatorname{hocolim}_{\mathcal{R}} \pi^* \mathscr{F}.$$

Furthermore, since  $\mathcal{R}$  is a subdivision of  $\mathcal{P}$ , on associated graded object, it follows that  $\iota$  is given by a sum of diagonal maps for each  $p \in \mathcal{P}$  of dimension k

$$\iota: \Sigma^k \mathscr{F}(p) \longrightarrow \bigvee_{r \in \pi^{-1}(p), |r|=k} \Sigma^k \mathscr{F}(p)$$

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