

ON THE SET OF SUBRINGS WHICH ARE DIRECTED UNIONS OF ARTINIAN SUBRINGS

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ABSTRACT. The paper contributes to the investigation of zero-dimensional rings which can be written as a directed union of Artinian subrings. We give conditions on $\mathcal{DU}(R)$ in order to be nonempty.

INTRODUCTION

Let R be a commutative ring with identity. We recall that R is reduced if $\bigcap_{P \in \text{Min}(R)} P = (0)$, where $\text{Min}(R)$ is the set of minimal prime ideals of R , and zero-dimensional if all prime ideals are maximal. The ring R is said to be von Neumann regular if for each element $r \in R$, there exists $r' \in R$ such that $r = r^2 r'$. It is easily seen that R is a von Neumann regular ring if and only if R is reduced and zero-dimensional. Initially we note that if R is the directed union of a family $\{R_\alpha\}_{\alpha \in A}$ of subrings, each of dimension at most n , then $\dim(R) \leq n$; this follows from the fact that any chain $P_0 \subset P_1 \subset \cdots \subset P_k$ of prime ideals of R contracts to a chain of distinct primes on some R_α . Thus, a ring that is a directed union of Artinian subrings is zero-dimensional. Recently many authors have been interested in zero-dimensionality (see [6, 7, 9]). The purpose of this paper is to pursue the study of the problem of whether a von Neumann regular ring R is expressible as a directed union of Artinian subrings, raised by Gilmer and Heinzer in 1992 [3, Problem 42].

This fact leads us to consider the set of subrings of R which are directed unions of Artinian subrings of R denoted $\mathcal{DU}(R)$ and the family of Artinian subrings $\mathcal{A}(R)$. Also we use $\text{Idem}(R)$, $\text{Spec}(R)$, and $\mathcal{C}(R)$, respectively, to denote the set of idempotent elements of R , the set of prime ideals of R , and the set $\{\text{char}(R/M) : M \text{ ranges over the maximal ideals of } R\}$.

The present paper considers the following questions:

(q_1) If $\mathcal{A}(R)$ is a nonempty set is it true that $\mathcal{DU}(R) \neq \emptyset$?

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(q_2) If $\mathcal{A}(R) \neq \emptyset$ under what conditions is the set $\mathcal{DU}(R)$ nonempty?

(q_3) Let R be a von Neumann regular ring, under what conditions is R expressible as a directed union of Artinian subrings?

It is worthwhile recalling that any zero-dimensional ring R with finite spectrum is expressible as a directed union of Artinian subrings [7, Theorem 5.4]. This leads us to examine the case where spectrum is infinite, i.e., we are interested with rings which are expressible as a directed unions of Artinian subrings but are not Artinians.

We give a short overview of the paper. The first section is concerned with questions (q_1) and (q_2). We give a characterization of the set $\mathcal{DU}(R)$. Precisely, our main results of this section are Proposition 1.3, which gives the relationship between $\mathcal{A}(R)$ and $\mathcal{DU}(R)$, and Theorem 1.12 which shows that $\mathcal{DU}(R)$ can be a nonempty set and R is not a directed union of Artinian subrings. In the second section we give a necessary and sufficient condition for a von Neumann regular ring to be a directed union of Artinian subrings that is an answer to question (q_3). We probe this problem in connection with their families of residue fields say $\mathcal{F}(R) = \{\frac{R}{M} : M \text{ a maximal ideal of } R\}$.

1. PRELIMINARIES AND GENERAL RESULTS

Throughout this paper, all rings are assumed to be commutative with unit. Further, all ring-homomorphisms are unital. If R is a subring of a ring S , we assume that the unity of S belongs to R . Turning to the Artinian case, two key properties of an Artinian ring R that come into play are that $\text{Spec}(R)$ is finite and that R has only finitely many idempotents. The existence of Artinian subrings was among the primary focus of many mathematicians [6, 9, 10]. They were particularly interested in the question of whether a zero-dimensional ring R is expressible as a directed union of Artinian subrings. Naturally hereditarily zero-dimensional rings¹ are example. More generally each zero-dimensional ring with finite spectrum is a directed union of Artinian subrings. However not every zero-dimensional ring is expressible as a directed union of Artinian subrings. For instance, if $R = \prod_{i=1}^{\infty} \mathbb{Q}$ denotes the infinite product of copies \mathbb{Q} , the ring R is a zero-dimensional ring that is not a directed union of Artinian subrings.

This section was inspired by a desire to understand the relationship between $\mathcal{A}(R)$ and $\mathcal{DU}(R)$.

Let (R_j, f_{jk}) be a directed system of rings, indexed by a directed set (I, \leq) . Let $R = \bigcup_{j \in I} R_j$, together with the canonical maps $f_j : R_j \rightarrow R$. The ring R is said to be a directed union of the R_j 's if the f_{jk} 's are inclusion maps.

¹A ring R is hereditarily zero-dimensional if all subrings of R are zero-dimensional.

Thus, directed unions can be treated by assuming all f_{jk} to be monomorphisms. Notice that R need not be Artinian even if each R_j is Artinian. Next, we use the fact that the Krull dimension is preserved under integral extensions (cf.[4, (11.8)]). In particular, an integral extension ring of a zero-dimensional ring is zero-dimensional.

Lemma 1.1. *If S is integral over an Artinian ring A , then S is written as a directed union of Artinian subrings, and hence $\mathcal{DU}(S) \neq \emptyset$.*

Proof. we can write

$$S = \bigcup \{A[F] : F \text{ is a finite subset of } S\}.$$

The ring $A[F]$ is intermediate between A and S , so $\dim(A[F]) = 0$ and $A[F]$ is a finitely generated A -module. It follows that $A[F]$ is a Noetherian ring, and hence $A[F]$ is Artinian (see [2, Theorem 8.5]). Now, let F_1 and F_2 be two finite subsets of S , then $F = F_1 \cup F_2 \subset S$ and $A[F_1] \subseteq A[F]$ and $A[F_2] \subseteq A[F]$. It follows that $\{A[F] : F \text{ is a finite subset of } S\}$ is a directed family of Artinian subrings and hence $S = \bigcup \{A[F] : F \text{ is a finite subset of } S\}$ is a directed union of Artinian subrings. Thus, $\mathcal{DU}(S) \neq \emptyset$. \square

In general, if R is von Neumann regular which is integral over a Noetherian subring, then R is a directed union of Artinian subrings.

Lemma 1.2. *Let R be a ring. Then*

- (i) *If $\mathcal{DU}(R) \neq \emptyset$ then $\mathcal{C}(R)$ is finite.*
- (ii) *If $\mathcal{C}(R)$ is infinite then $\mathcal{A}(R) = \emptyset$.*

Proof. (i) Let $S \in \mathcal{DU}(R)$, then $S = \bigcup_{i \in I} S_i$ is a directed union of Artinian subrings S_i . Then $S_i \in \mathcal{A}(R)$ and hence $\mathcal{A}(R) \neq \emptyset$. Therefore $\mathcal{C}(R)$ is finite [5, Proposition 1].

(ii) Assume that $\mathcal{A}(R) \neq \emptyset$, let $S \in \mathcal{A}(R)$, then $\mathcal{C}(R) \subseteq \mathcal{C}(S)$ and hence $\mathcal{C}(S)$ is infinite, a contradiction with S is Artinian ($\mathcal{C}(R)$ is finite). \square

For the next results, we need the following definition.

Let $\{R_\alpha\}_{\alpha \in A}$ be a nonempty family of rings and $\prod_{\alpha \in A} R_\alpha$ their product. We frequently consider $\prod_{\alpha \in A} R_\alpha$ as the set of all functions $f : A \rightarrow \bigcup_{\alpha \in A} R_\alpha$, such that $f(\alpha) \in R_\alpha$ for each $\alpha \in A$, with addition and multiplication defined pointwise: $(f+g)(\alpha) = f(\alpha) + g(\alpha)$ and $(fg)(\alpha) = f(\alpha)g(\alpha)$. In this perspective, the direct sum ideal of $\prod_{\alpha \in A} R_\alpha$, denoted $\bigoplus_{\alpha \in A} R_\alpha$, is the set of functions $f \in \prod_{\alpha \in A} R_\alpha$ that are finitely nonzero (i.e., $\{\alpha \in A : f(\alpha) \neq 0 \text{ in } R_\alpha\}$ is finite). We use \mathbb{Z} and \mathbb{N} , respectively, to denote the set of integers and the set of natural numbers.

Proposition 1.3. *If $R = \bigoplus_{j=1}^n R_j$ is the direct product of zero-dimensional rings R_j , then the following conditions are equivalent.*

- (i) $\mathcal{A}(R) \neq \emptyset$;
- (ii) $\mathcal{A}(R_j) \neq \emptyset$, for each $j = 1, \dots, n$;
- (iii) $\mathcal{DU}(R_j) \neq \emptyset$, for each $j = 1, \dots, n$;
- (iv) $\mathcal{DU}(R) \neq \emptyset$.

We use the following lemmas to prove Proposition 1.3.

Lemma 1.4. *Let R be a zero-dimensional ring with only finitely many idempotent elements, then R is expressible as a directed union of Artinian subrings.*

In order to prove this result, we need the following lemmas.

Lemma 1.5. *Any zero-dimensional ring R with only finitely many idempotents is semi-quasilocal.*

Proof. Let

M_1, \dots, M_{r+1} be distinct maximal ideals of R . Let $x \in M_{r+1} \setminus (\bigcup_{i=1}^r M_i)$, since $\dim R = 0$ by [8, Theorem 3.1], there exists $t \in \mathbb{Z}^+$ and e an idempotent element of R such that $x^t R = eR$. Hence $e \in M_{r+1} \setminus (\bigcup_{i=1}^r M_i)$. It follows that if R has n maximal ideals, it has at least $n - 1$ idempotents. Therefore, R is necessarily semi-quasilocal. \square

Lemma 1.6. [7, Corollary 5.5] *If R is a zero-dimensional semi-quasilocal ring then there exists an Artinian subring of R .*

Proof of Lemma 1.4. An immediate consequence of Lemma 1.5 and Lemma 1.6. \square

Proof of Proposition 1.3.

(i) \Leftrightarrow (ii). Let $S \in \mathcal{A}(R)$ and e_j be the idempotent element of R associated with $\{j\}$. Then $S[e_1, \dots, e_n]$ is an integral extension of S . Moreover, $S[e_1, \dots, e_n]$ is finitely generated over S and hence $S[e_1, \dots, e_n] = Se_1 \oplus \dots \oplus Se_n$ is Artinian. Therefore, Se_j is an Artinian subring of R_j , for each j . Conversely, if $S_j \in \mathcal{A}(R_j)$ for each j , then $\bigoplus_{j=1}^n S_j$ is an Artinian subring of R .

(ii) \Leftrightarrow (iii). Let $j_o \in \{1, \dots, n\}$ and suppose that $\mathcal{A}(R_{j_o}) \neq \emptyset$. Let $A \in \mathcal{A}(R_{j_o})$ and $\text{Idem}(R_{j_o}) = \{e_l\}_{l \in L}$ be the set of all idempotent elements of R_{j_o} . Two cases are then possible:

Case 1: If $\{e_l\}_{l \in L}$ is a finite set, by Lemma 1.4, R_{j_o} is a directed union of Artinian subrings and hence $\mathcal{DU}(R) \neq \emptyset$.

Case 2: If $\{e_l\}_{l \in L}$ is infinite, then $A[\{e_l\}_{l \in L}]$ is integral over A . According to Lemma 1.1, $A[\{e_l\}_{l \in L}]$ is a directed union of Artinian subrings. Consequently, $\mathcal{DU}(R) \neq \emptyset$. Conversely, if $S = \bigcup_{i \in I} S_i \in \mathcal{DU}(R_{j_o})$ for some

$j_o \in \{1, \dots, n\}$, then $S_i \in \mathcal{A}(R_{j_o})$ and hence $\mathcal{A}(R_{j_o}) \neq \emptyset$.

(iii) \Leftrightarrow (iv). Let $S_j \in \mathcal{DU}(R_j)$ for each $j = 1, \dots, n$ and $S = \bigoplus_{j=1}^n S_j$. To show that $S \in \mathcal{DU}(R)$ it suffices to show it for $n = 2$. Now suppose that $S = S_1 \oplus S_2$, where $S_1 = \bigcup_{i \in J} V_i$ and $S_2 = \bigcup_{k \in K} W_k$ are directed unions of Artinian subrings. It is easy to see that $S = S_1 \oplus S_2 = \bigcup_{(i,k) \in J \times K} (V_i \oplus W_k)$ and $\{V_i \oplus W_k\}_{(i,k) \in J \times K}$ is a directed family. We notice also that $V_i \oplus W_k$ is Artinian for each $(i, k) \in J \times K$. It follows that $S = \bigcup_{(i,k) \in J \times K} (V_i \oplus W_k)$ is a directed union of Artinian subrings. Conversely, let $W = \bigcup_{j \in I} W_j \in \mathcal{DU}(R)$ and e_i be the idempotent element of R associated with $\{i\}$. Then $We_i = \bigcup_{j \in I} W_j e_i$. From (i) \Leftrightarrow (ii) $W_j e_i \in \mathcal{A}(R_i)$ and if $W_l \subseteq W_s$ then $W_l e_i \subseteq W_s e_i$ and hence the family $\{W_j e_i\}_{j \in I}$ is directed. Thus, $We_i \in \mathcal{DU}(R_i)$. \square

Proposition 1.3 does not hold for infinite direct products as the following example shows.

Example 1.7. Let $R = \prod_{i=1}^{\infty} \frac{\mathbb{Z}}{p_i \mathbb{Z}}$, where $\{p_i\}_{i=1}^{\infty}$ is an infinite family of distinct prime integers. Clearly, $\mathcal{A}(\frac{\mathbb{Z}}{p_i \mathbb{Z}}) \neq \emptyset$ (respectively, $\mathcal{DU}(R) \neq \emptyset$) for each i . However, since $\{p_i : i = 1, 2, \dots\} \subseteq \mathcal{C}(R)$, we have that $\mathcal{C}(R)$ is infinite. Thus, by [10, Theorem 2.1], $\mathcal{A}(R) = \emptyset$ (respectively, $\mathcal{DU}(R) = \emptyset$). This means that R has no Artinian subring.

Proposition 1.8. Let R be a ring and $\{L_\lambda\}_{\lambda \in \Lambda}$ its residue fields. Then $\mathcal{A}(\prod_{\lambda \in \Lambda} L_\lambda) \neq \emptyset$ if and only if $\mathcal{DU}(\prod_{\lambda \in \Lambda} L_\lambda) \neq \emptyset$.

Proof. Suppose that $\mathcal{DU}(\prod_{\lambda \in \Lambda} L_\lambda) \neq \emptyset$, let $S \in \mathcal{DU}(\prod_{\lambda \in \Lambda} L_\lambda)$ then $S = \bigcup_{i \in I} S_i$ is a directed union of Artinian subrings, and hence $S_i \in \mathcal{A}(S) \subseteq \mathcal{A}(\prod_{\lambda \in \Lambda} L_\lambda)$. Thus, $\mathcal{A}(\prod_{\lambda \in \Lambda} L_\lambda) \neq \emptyset$. Conversely, assume that $\mathcal{A}(\prod_{\lambda \in \Lambda} L_\lambda) \neq \emptyset$, then $\bigcup_{\lambda \in \Lambda} \mathcal{C}(L_\lambda) \subseteq \mathcal{C}(\prod_{\lambda \in \Lambda} L_\lambda)$ is a finite set of prime numbers. In other words, $\bigcup_{\lambda \in \Lambda} \mathcal{C}(L_\lambda) = \{p_1, \dots, p_k\}$, where p_i is a prime number for each $i = 1, \dots, k$. We can write $\Lambda = \Lambda_1 \cup \dots \cup \Lambda_k$ as a finite partition, where $\Lambda_i = \{\lambda \in \Lambda / \text{char}(L_\lambda) = p_i\}$, for each $i = 1, \dots, k$, and hence $\prod_{\lambda \in \Lambda} L_\lambda = \prod_{\lambda \in \Lambda_1} L_\lambda \oplus \prod_{\lambda \in \Lambda_2} L_\lambda \oplus \dots \oplus \prod_{\lambda \in \Lambda_k} L_\lambda$. Without loss of generality, we assume that $\Lambda = \Lambda_i$, for some $i \in \{1, \dots, k\}$. In other words, we suppose that $\text{char}(L_\lambda) = p_i$ for each $\lambda \in \Lambda$. In this case, $\mathbb{Z}_{p_i}^w \subseteq \prod_{\lambda \in \Lambda} L_\lambda$, where w is the cardinality of Λ , $\mathbb{Z}_{p_i}^w$ is the infinite direct product of copies of \mathbb{Z}_{p_i} , and \mathbb{Z}_{p_i} is the prime field of characteristic p_i . Since $\lim_{\rightarrow} \mathbb{Z}_{p_i}^{(i)} \subsetneq \mathbb{Z}_{p_i}^w$, where $\mathbb{Z}_{p_i}^{(i)} = \{\{x_j\}_{j=1}^{\infty} \in \mathbb{Z}_{p_i}^{w_0} : x_{i-1} = x_i = \dots\}$ is a subring of R , and $\mathbb{Z}_{p_i}^{(i)} \simeq \mathbb{Z}_{p_i}^i$, the product of i copies of \mathbb{Z}_{p_i} , an Artinian von Neumann regular ring. Therefore, the direct limit $\lim_{\rightarrow} \mathbb{Z}_{p_i}^{(i)} \in \mathcal{DU}(\mathbb{Z}_{p_i}^w)$ and $\mathcal{DU}(\mathbb{Z}_{p_i}^w) \subseteq \mathcal{DU}(\prod_{\lambda \in \Lambda} L_\lambda)$, we must have $\mathcal{DU}(\prod_{\lambda \in \Lambda} L_\lambda) \neq \emptyset$. \square

Remark 1.9. If $\mathcal{C}(R)$ is finite, then $\mathcal{DU}(\prod_{\lambda \in \Lambda} L_\lambda) \neq \emptyset$. This follows from the fact that the finiteness of $\mathcal{C}(R)$ implies that $\mathcal{A}(\prod_{\lambda \in \Lambda} L_\lambda) \neq \emptyset$.

Proposition 1.10. *Let R be a zero-dimensional ring and $N(R)$ its nilradical. Then the following conditions are equivalent:*

- (i) $\mathcal{DU}(R) \neq \emptyset$;
- (ii) $\mathcal{DU}(\frac{R}{N(R)}) \neq \emptyset$.

Proof. (i) \Rightarrow (ii). Let $\varphi : R \rightarrow \frac{R}{N(R)}$ be the canonical projection, and $T \in \mathcal{DU}(R)$. Then $\varphi(T)$ is a directed union of Artinian subrings of $\frac{R}{N(R)}$. Indeed, if $T = \bigcup_{i \in I} T_i$ is a directed union of Artinian subrings, then it is not difficult to see that $\varphi(T) = \bigcup_{i \in I} \varphi(T_i)$, where $\varphi(T_i) = \frac{T_i}{T_i \cap N(R)} = \frac{T_i}{N(T_i)}$. Notice that if $T_i \subseteq T_j$ then $\frac{T_i}{N(T_i)} \subseteq \frac{T_j}{N(T_j)}$, in other words, $\varphi(T_i) \subseteq \varphi(T_j)$ and it is easy to see that $\frac{T_i}{N(T_i)} \in \mathcal{A}(\frac{R}{N(R)})$ for each $i \in I$. Therefore, $\varphi(T) = \bigcup_{i \in I} \varphi(T_i)$ is a directed union of Artinian subrings. Thus, $\mathcal{DU}(\frac{R}{N(R)}) \neq \emptyset$.
(ii) \Rightarrow (i). Suppose that $\mathcal{DU}(\frac{R}{N(R)}) \neq \emptyset$ and let $S = \bigcup_{i \in I} S_i \in \mathcal{DU}(\frac{R}{N(R)})$ then $S_i \in \mathcal{A}(\frac{R}{N(R)})$. Let $\sigma : R \rightarrow \frac{R}{N(R)}$ the canonical projection. Let $i_0 \in I$ and $R_{i_0} = \sigma^{-1}(S_{i_0})$, the inverse image of S_{i_0} . It is not difficult to show that $\frac{R_{i_0}}{N(R_{i_0})} \simeq S_{i_0}$ and hence R_{i_0} is a semi-quasilocal zero-dimensional subring of R . By [7, Corollary 5.5], R_{i_0} is a directed union of Artinian subrings. In other words, $R_{i_0} \in \mathcal{DU}(R)$. \square

Now we observe that if R is a directed union of Artinian subrings, then $\mathcal{DU}(R)$ is a nonempty set. This raises the question of what the relationship is between $\mathcal{DU}(R) \neq \emptyset$ and the property that R is a directed union of Artinian subrings.

Example 1.11. Let $\Omega = \mathbb{Q}(X)$ be a simple transcendental extension of \mathbb{Q} , where \mathbb{Q} denotes the field of rational numbers. Let $R = \Omega^{\mathbb{N}}$ be a countable direct product of copies of Ω . We consider $\Omega^{(i)} = \{\{x_j\}_{j=1}^{\infty} \in \Omega^{\mathbb{N}} : x_{i-1} = x_i = \dots\}$ a subring of R . It is easy to see that $\Omega^{(i)} \simeq \Omega^i$, the finite product of i copies of Ω , an Artinian von Neumann regular ring. It follows that $\mathcal{A}(R) \neq \emptyset$, and $\varinjlim \Omega^{(i)} = T \subseteq \Omega^{\mathbb{N}}$. The ring T is a directed union of Artinian subrings, in other words, $T \in \mathcal{DU}(R)$. However, R is not a directed union of Artinian subrings: indeed, consider $y = (y_i)_{i \in \mathbb{N}^*} \in R$ such that $y_i \neq y_j$ for $i \neq j$. Then, for each $i \in \mathbb{N}^*$, $y \notin \Omega^{(i)}$. It follows that $\varinjlim \Omega^{(i)} \subsetneq \Omega^{\mathbb{N}}$. Hence $\mathcal{A}(R) \neq \emptyset$ does not imply that R is a directed union of Artinian subrings.

Let R be a ring and $\{R_\alpha\}_{\alpha \in A}$ an infinite family of nonzero rings such that R is, up to isomorphism, a subring of each R_α . We use R^* to denote

the diagonal imbedding of R in $\prod_{\alpha \in A} R_\alpha$, that is $R^* = \varphi(R)$, where $\varphi : R \hookrightarrow \prod_{\alpha \in A} R_\alpha$ is a monomorphism defined by $\varphi(x) = \{x_\alpha\}_{\alpha \in A}$ such that $x_\alpha = x$ for each $\alpha \in A$.

Theorem 1.12. *Let R be a zero-dimensional ring and $\mathcal{F}(R) = \{L_i\}_{i \in I}$ its set of residue fields. Assume that for each pair $(i, j) \in I^2$ we have $L_j \cap L_k = L \notin \mathcal{F}(R)$. Then*

- (1) $\mathcal{DU}(R) \neq \emptyset$.
- (2) R is not a directed union of Artinian subrings.

To prove this result, we need the following Lemma.

Lemma 1.13. [11, Proposition 2.1] *Let R be a Von Neumann regular ring and $R \subset T \subset \prod_{\lambda \in \Lambda} \frac{R}{M_\lambda}$ such that $T = \bigcup_{i \in I} T_i$ is a directed union of Artinian subrings and $\{M_\lambda\}_{\lambda \in \Lambda} = \text{Spec}(R)$. Then $\mathcal{F}(R) = \mathcal{F}(T)$.*

Proof of Theorem 1.12. (1) According to Lemma 1.2, we assume that $\mathcal{C}(R)$ is finite, otherwise, $\mathcal{DU}(R) = \emptyset$. Since $\mathcal{C}(R) = \{p_1, \dots, p_l\}$ is a finite set of prime integers, we can write $I = I_1 \cup \dots \cup I_l$ as a partition of I where $I_j = \{i \in I / \text{char}(L_i) = p_j\}$ for $j = 1, \dots, l$. Let $T_j = \prod_{i \in I_j} L_i$ for each $j = 1, \dots, l$. Thus $\prod_{i=1}^l T_i$ is isomorphic to $\prod_{i \in I} L_i$. For $j = 1, \dots, l$, let \mathbb{Z}_{p_j} be the prime subfield of characteristic p_j and $\mathbb{Z}_{p_j}^{I_j}$ the direct product of copies \mathbb{Z}_{p_j} . Then $\prod_{j=1}^l \mathbb{Z}_{p_j}^{I_j}$ is a subring of $\prod_{i \in I} L_i$. By [7, Theorem 6.7], $\prod_{j=1}^l \mathbb{Z}_{p_j}^{I_j} = \bigcup_{k \in A} S_k$ is a directed union of Artinian subrings. Let $R_k = R \cap S_k$ is a von Neumann regular subring of R , for each $k \in I$. Since each R_k is a subring of S_k and $|\text{Idem}(R_k)| \leq |\text{Idem}(S_k)|$, it follows that each R_k is Artinian. The family $\{R_k\}_{k \in A}$ is directed because the family $\{S_k\}_{k \in A}$ is so. It follows that $\bigcup_{k \in A} R_k \subseteq R$ is a directed union of Artinian subrings. Thus $\mathcal{DU}(R) \neq \emptyset$, and hence $\mathcal{DU}(R) \neq \emptyset$.

(2) Since $\mathcal{C}(R) = \{p_1, \dots, p_l\}$ and $\mathcal{F}(R) = \mathcal{F}_1(R) \cup \mathcal{F}_2(R) \cup \dots \cup \mathcal{F}_l(R)$, where $\mathcal{F}_j(R) = \{F \in \mathcal{F}(R) / \text{char}(F) = p_j\}$, to prove that the condition of theorem 1.12 is satisfied for $\mathcal{F}(R)$ it suffices to show that it is satisfied for each $\mathcal{F}_j(R)$. In this case we suppose that $\mathcal{F}(R) = \mathcal{F}_j(R)$ for some $j \in \{1, \dots, l\}$. Now, assume that $L \notin \mathcal{F}_j(R)$. Let \mathcal{S} be the subring of $\prod_{j \in I} L_j$ consisting of eventually constant sequences. Thus $\mathcal{S} \simeq L^* + J$, the L -subalgebra of $\prod_{j \in I} L_j$ generated by the direct sum ideal $J = \bigoplus_{i \in I} L_i$, where L^* is the diagonal imbedding of L in $\prod_{j \in I} L_j$. First, we claim that \mathcal{S} is the maximal subring of $\prod_{j \in I} L_j$ with respect to being a directed union of Artinian subrings. Let $T = \bigcup_{j \in J} T_j$ be a subring of $\prod_{\alpha \in A} L_\alpha$ that is a directed union of Artinian subrings, and let $t = \{t_\alpha\}_{\alpha \in A} \in T$. There exists $j_o \in J$ such that $t \in T_{j_o}$ and T_{j_o} is a finite product of fields. Hence

$t \in \mathcal{S}$. We claim that $\mathcal{F}(\mathcal{S}) = \{L\} \cup \{L_j\}_{j \in I}$. Let $p_i : T = \prod_{j \in I} L_j \rightarrow L_i$ be the canonical projection and $p_{i|\mathcal{S}}$ its restriction on \mathcal{S} , which is a surjective homomorphism. We have that $\text{Ker} p_{i|\mathcal{S}} = (1 - e_i)T \cap \mathcal{S} = (1 - e_i)\mathcal{S} = M_i$, with e_i the primitive idempotent with support $\{i\}$, and $\mathcal{S}/M_i \simeq L_i$ for each $i \in I$. Also, J is a maximal ideal of \mathcal{S} and $\mathcal{S}/J \simeq (L)^* \simeq L$. Thus $\{J\} \cup \{M_i\}_{i \in I} \subseteq \text{Max}(\mathcal{S})$. Let $P \in \text{Spec}(\mathcal{S})$, if $J \subseteq P$, then $J = P$. If $J \not\subseteq P$ then $e_i \notin P$, for some $i \in I$, and hence $1 - e_i \in P$. Therefore, $M_i \subseteq P$ and $P = M_i$. Consequently, $\text{Max}(\mathcal{S}) = \{J\} \cup \{M_i\}_{i \in I}$. Thus $\mathcal{F}(\mathcal{S}) = \{L\} \cup \{L_j\}_{j \in I}$. If R is a directed union of Artinian subrings, then $R \subset \mathcal{S}$. By Lemma 1.13, $\mathcal{F}(R) = \mathcal{F}(\mathcal{S})$, a contradiction to the fact that $L \notin \mathcal{F}(R)$. \square

Corollary 1.14. *Let R be a ring and S is a multiplicative closed subset of R .*

- (i) *If R is a directed union of Artinian subrings, then $S^{-1}R$ so is.*
- (ii) *If $\mathcal{DU}(R) \neq \emptyset$, then $\mathcal{DU}(S^{-1}R) \neq \emptyset$.*
- (iii) *We have $\mathcal{DU}(R(X)) \neq \emptyset$ if $\mathcal{DU}(R) \neq \emptyset$, where $R(X)$ is the Nagata ring and X is an indeterminate over R .*

Proof. (i) Suppose that $R = \bigcup_{\alpha \in A} R_\alpha$ is a directed union of Artinian subrings and $S_\alpha = S \cap R_\alpha$ be a multiplicative closed subset of R_α . It is not difficult to show that $S^{-1}R = \bigcup_{\alpha \in A} S_\alpha R_\alpha$. Since R_α is Artinian, the localization $S_\alpha^{-1}R_\alpha$ is also Artinian. The family $\{S_\alpha^{-1}R_\alpha\}_{\alpha \in A}$ is directed since $\{R_\alpha\}_{\alpha \in A}$ so is. It follows that $S^{-1}R = \bigcup_{\alpha \in A} S_\alpha R_\alpha$ is a directed union of Artinian subrings.

(ii) Suppose that $\mathcal{DU}(R) \neq \emptyset$, let $T = \bigcup_{j \in J} T_j \in \mathcal{DU}(R)$ and $U = S \cap T$ be a multiplicative closed subset of T . By (i), $U^{-1}T \subseteq S^{-1}R$ is a directed union of Artinian subrings. It follows that $\mathcal{DU}(S^{-1}R) \neq \emptyset$. As $R(X) = S^{-1}R[X]$, where $S = R[X] \setminus \bigcup \{MR[X] : M \text{ is a maximal ideal of } R\}$, we have $\mathcal{DU}(R(X)) \neq \emptyset$ if $\mathcal{DU}(R) \neq \emptyset$.

(iii) If $R = \bigcup_{i \in I} R_i$ is a directed union of Artinian subrings, then $R(X) = \bigcup_{i \in I} R_i(X)$. Since each R_i is Noetherian, by [12, (6.17)], $R_i(X)$ is also Noetherian and each $R_i(X)$ is zero-dimensional as each R_i is zero-dimensional (cf. [1, Proposition 1.21]). By [2, Theorem 8.5], $R_i(X)$ is an Artinian ring for each $i \in I$. The family $\{R_i(X)\}_{i \in I}$ is directed because so is the family $\{R_i\}_{i \in I}$. Then $R(X)$ is a directed union of Artinian subrings. \square

2. VON NEUMANN REGULAR RINGS AS A DIRECTED UNION OF ARTINIAN SUBRINGS

Let R be a von Neumann regular ring and $\{M_i\}_{i \in I}$ its spectrum. Since R is reduced, we have $\bigcap_{i \in I} M_i = (0)$ and hence the homomorphism $\varphi : R \rightarrow \prod_{i \in I} \frac{R}{M_i}$, defined by $\varphi(x) = x + M_i$, is injective. This allows us to view R as a subring of $\prod_{i \in I} \frac{R}{M_i}$. We identify x with its image $\{x_i\}_{i \in I} \in \prod_{i \in I} \frac{R}{M_i}$. Finally, we denote $F_i = \frac{R}{M_i}$ for each $i \in I$. We assume that there is a field Ω containing each F_i . We can always make this assumption if the F_i have the same characteristic.

Given $x \in R$, let $x_i \in \Omega$ be the coset $x + M_i \in F_i$, but viewed as an element of Ω . We identify x with its image $\{x_i\}_{i \in I} \in \Omega^I$, the infinite direct product of copies of Ω . Given $y = \{y_i\}_{i \in I} \in \Omega^I$, let $\|y\| = \{y_i : i \in I\} \subseteq \Omega$. Finally, we put

$$\mathcal{S} = \{y \in \Omega^I : \|y\| \text{ is finite}\}$$

Proposition 2.1. *With the notation and assumptions above, we have the following:*

- (1) \mathcal{S} is von Neumann regular.
- (2) \mathcal{S} is a directed union of Artinian subrings.
- (3) If $R \subset \mathcal{S}$ then R is a directed union of Artinian subrings.

To prove this result, we require the following two lemmas.

Lemma 2.2. *Let $\{S_\alpha\}_{\alpha \in A}$ be a family of von Neumann regular subrings of a von Neumann regular ring T . Then $R := \bigcap_{\alpha \in A} S_\alpha$ is von Neumann regular.*

Proof. Given $x \in R$, let y be the unique element of T satisfying $xyx = x$ and $xyy = y$. For the existence, choose any z such that $xzx = x$ and put $y := zxz$. For the uniqueness, just note that uniqueness is clear for fields, so it is true locally and therefore globally. The existence and uniqueness, applied to each S_α , shows that $y \in S_\alpha$ for each α . Thus $y \in R$ as desired. \square

Lemma 2.3. *Let R and S be von Neumann regular rings, with R a subring of S .*

- (i) *If S is a directed union of Artinian subrings, so is R .*
- (ii) *If $\mathcal{DU}(S) \neq \emptyset$, then $\mathcal{DU}(R) \neq \emptyset$.*

Proof. (i) Write $S = \bigcup_{i \in I} S_i$ as the directed union of Artinian rings S_i . Since $R = \bigcup_{i \in I} R_i$ is the directed limit of the rings $R_i = R \cap S_i$, it will suffice to show that each R_i is Artinian. By Lemma 2.2, we know at least that R_i is von Neumann regular. Since R_i has only finitely many idempotents (as $R_i \subseteq S_i$), R_i is a direct product of finitely many fields. \square

Proof of Proposition 2.1. (1) Given $x \in \mathcal{S}$, define $y \in \Omega^I$ by letting $y_i = x_i^{-1}$ if $x_i \neq 0$, and $y_i = 0$ if $x_i = 0$. Then $y \in \mathcal{S}$ and $xyx = x$.
(2) Given a partition \mathcal{P} of I into pairwise disjoint sets J_1, \dots, J_n , let $\mathcal{A}_{\mathcal{P}}$ be the subring of Ω^I consisting of those elements $\{y_i\}_{i \in I}$ that are constant on each set J_r in the partition, that is, $i, j \in J_r$ implies $y_i = y_j$. Then $\mathcal{A}_{\mathcal{P}} \simeq K_1 \times \dots \times K_n$, where $K_r = \bigcap_{i \in J_r} F_i$. Thus each $\mathcal{A}_{\mathcal{P}}$ is Artinian. The family $\{\mathcal{A}_{\mathcal{P}}, \mathcal{P} \text{ is a partition of } I\}$ is directed. Indeed, If $\mathcal{P} = \{J_i\}_{i=1}^l$ and $\mathcal{Q} = \{I_j\}_{j=1}^k$ are finite partitions of I , then $\mathcal{P} \cap \mathcal{Q} = \{J_i \cap I_k / 1 \leq j \leq l, 1 \leq i \leq k\}$ is a finite partition of I , and $\mathcal{A}_{\mathcal{P}} \cup \mathcal{A}_{\mathcal{Q}} \subseteq \mathcal{A}_{\mathcal{P} \cap \mathcal{Q}}$. Since \mathcal{S} is the union of the rings $\mathcal{A}_{\mathcal{P}}$, (2) is proved.
(3) This follows from (1), (2) and Lemma 2.3. \square

Here is a verification that $\mathbb{Q}^{\mathbb{N}}$ is not a direct limit of Artinian rings.

Lemma 2.4. *Let K be a field, let I be a non-empty index set, and put $\Pi = K^I$. We identify K with the subfield of Π consisting of constant functions in Π . Then K is a maximal subfield of Π .*

Proof. Suppose L is a subfield of Π that properly contains K . Choose two elements $x, y \in L$ that are linearly independent over K . Choose $i \in I$, and put $x_i = x(i)$ and $y(i) = y_i$. Then the i^{th} coordinate of $z := y_i x - x_i y$ is 0, whence z is a non-unit of Π . Since L is a field and $z \in L$, $z = 0$. This forces $y_i = 0$ (since x and y are linearly independent). But then y is not a unit, and this means that $y = 0$, again contradicting linear independence. \square

Now let A be an Artinian subring of $\Pi := \mathbb{Q}^I$, where I is any index set. Let e_1, \dots, e_n be the primitive idempotents of A , with $e_1 + \dots + e_n = 1$. These idempotents give a corresponding partition $\mathcal{P} = S_1 \cup \dots \cup S_n$ of \mathbb{N} , where S_i is the support of e_i . The field Ae_i is a subfield of $\Pi e_i \cong \mathbb{Q}^{S_i}$. Therefore Ae_i contains the prime subfield \mathbb{Q} of \mathbb{Q}^{S_i} (consisting of functions that are constant on S_i), and by the Lemma Ae_i consists precisely of the constant functions on S_i . It follows that A consists of the functions in Π that are constant on each set S_i .

Given a partition \mathcal{P} of I into a finite number of pairwise disjoint sets, let $\mathcal{A}_{\mathcal{P}}$ be the subring of \mathbb{Q}^I consisting of functions that are constant on each set in \mathcal{P} . Of course $\mathcal{A}_{\mathcal{P}}$ is isomorphic to \mathbb{Q}^n , where n is the number of sets in the partition. In particular, $\mathcal{A}_{\mathcal{P}}$ is Artinian. We have proved the following:

Proposition 2.5. *The Artinian subrings of \mathbb{Q}^I are precisely the rings of the form $\mathcal{A}_{\mathcal{P}}$, where \mathcal{P} is some finite partition of I .*

Corollary 2.6. *If I is infinite, then \mathbb{Q}^I is not a direct limit of Artinian subrings.*

Lemma 2.7. *Let R be a zero-dimensional ring with finite spectrum, then R is expressible as a finite product of zero-dimensional quasi-local subrings.*

Proof. Let $\text{Spec}(R) = \{M_i\}_{i=1}^n$ be the spectrum of R . Let $S_{M_i}(0)$ to denote $\text{Ker}\varphi_i$ for each $i = 1, \dots, n$, where $\varphi_i : R \rightarrow R_{M_i}$ and $\varphi_i(r) = \frac{r}{1}$, is the canonical homomorphism. Since $\text{Rad}(S_{M_i}(0)) = M_i$, $S_{M_i}(0)$ is a primary ideal. Note that $\bigcap_{i=1}^n S_{M_i}(0) = (0)$ and $S_{M_i}(0) + S_{M_j}(0) = R$ for each $i \neq j$ in $\{1, \dots, n\}$. Therefore, $R \simeq \frac{R}{\bigcap_{i=1}^n S_{M_i}(0)}$. By the Chinese remainder Theorem, $R \simeq \prod_{i=1}^n \frac{R}{S_{M_i}(0)}$, where $\frac{R}{S_{M_i}(0)}$ is quasi-local and zero-dimensional, for $i = 1, \dots, n$. \square

Lemma 2.8. *Let R be a von Neumann regular ring. Then the following conditions are equivalent.*

- (i) R is Artinian;
- (ii) R is a finite product of fields;
- (iii) R is Noetherian.

Proof. We suppose that R is von Neumann regular and Artinian then by [2, Corollary 8.2], $\text{Spec}(R)$ is finite and hence $R = R_1 \oplus \dots \oplus R_n$, where each R_i is a quasi-local and zero-dimensional ring, for $i = 1, \dots, n$. Since R is von Neumann regular, each R_i is a von Neumann regular ring. As each R_i is quasi-local, [9, Theorem 3.1], R_i is a field for each $i = 1, \dots, n$. It follows that R is a finite product of fields. The rest of the proof is straightforward since every subring of a reduced ring is reduced. \square

Proposition 2.9. *Let R be a von Neumann regular ring and $\mathcal{F}(R) = \{F_\lambda\}_{\lambda \in \Lambda}$ its residue fields. If there exists $k \in \mathbb{N} \setminus \{0\}$ such that the set $\{\lambda \in \Lambda : |F_\lambda| > k\}$ is finite, then $\mathcal{DU}(R) \neq \emptyset$.*

Proof. Since R is a reduced ring, we have $\bigcap_{\lambda \in \Lambda} M_\lambda = (0)$. Then the homomorphism $\varphi : R \rightarrow \prod_{\lambda \in \Lambda} R/M_\lambda$ defined by $\varphi(r) = \{r_\lambda\}_{\lambda \in \Lambda}$, where $r_\lambda \equiv \bar{r} \text{ Mod } M_\lambda$, is injective. In this case we regard R as a subring of $\prod_{\lambda \in \Lambda} F_\lambda$, where $F_\lambda = \frac{R}{M_\lambda}$ for each $\lambda \in \Lambda$. As $\{\lambda \in \Lambda : |F_\lambda| > k\}$ is finite for some $k \in \mathbb{N}^*$, by [7, Theorem 6.7] $\prod_{\lambda \in \Lambda} F_\lambda$ is a directed union of Artinian subrings. By Proposition 1.3, R is also a directed union of Artinian subrings and hence $\mathcal{DU}(R) \neq \emptyset$. \square

Throughout this paper, we assume that for each $k \in \mathbb{N}^*$ the set $\{\lambda \in \Lambda : |F_\lambda| > k\}$ is infinite.

Remark 2.10. (1) From Proposition 2.9, if F is a field, then the infinite direct product $\prod_{\alpha \in A} F$ is a directed union of Artinian subrings if and only if F is finite.

(2) Let L be a field, then $\mathcal{DU}(\prod_{\alpha \in A} L) \neq \emptyset$.

Theorem 2.11. *Let R be a von Neumann regular ring with $\mathcal{F}(R) = \{L_\alpha\}_{\alpha \in A}$. Then $\mathcal{S} = \{r = \{r_\alpha\}_{\alpha \in A} \in \prod_{\alpha \in A} L_\alpha : \|r\| \text{ is finite}\}$ is a directed union of Artinian subrings if and only if for each subset B of A , there exists a partition $B = \bigcup_{i=1}^s B_i$, where each family $\{L_\alpha : \alpha \in B_i\}$ has a common subfield, up to isomorphism.*

Proof. Assume that \mathcal{S} is a directed union of Artinian subrings and let B be a subset of A . Let $f \in \mathcal{S}$, then f belongs to a finite product of fields and hence it has only finitely many distinct components f_1, \dots, f_t . Now, let $A_i = \{\alpha \in A : f(\alpha) = f_i\}$, for each $i = 1, \dots, t$, so $A = \bigcup_{i=1}^t A_i$ is a partition of A which satisfies that $\bigcap_{\alpha \in A_j} L_\alpha$ is a field, up to isomorphism, for each $j = 1, \dots, t$. So we only consider $B = \bigcup\{A_i \cap B : 1 \leq j \leq t\}$, with $A_k \cap B \neq \emptyset$ for each $k = 1, \dots, t$. Furthermore, $\{L_\alpha : \alpha \in A_k \cap B\}$ has a common subfield, up to isomorphism. Conversely, to show that \mathcal{S} is a directed union of Artinian subrings, we need only to show that for each f in \mathcal{S} the set $\{f(\alpha) : \alpha \in A\} = \{f_1, \dots, f_t\}$ is contained in a finite product of fields. For, let $f \in \mathcal{S}$, and consider the partition $A_f = \bigcup_{i=1}^n A_i$ associated with f , i.e., $A_i = \{\alpha \in A : f(\alpha) = f_i\}$ and $i = 1, \dots, t$. Let $f_i^* = (f_i, \dots, f_i, \dots) \in \prod_{\alpha \in A_i} L_\alpha$, by hypothesis, there exists a partition $A_i = \bigcup_{k=1}^{s_i} I_k$, $i = 1, \dots, k$, such that each family of fields $\mathcal{F}_k = \{L_\alpha : \alpha \in I_k\}$ has a common subfield K_k^i (which need not belong to \mathcal{F}_k). Thus, $f_i^* \in \prod_{k=1}^{s_i} K_k^{i*}$, where K_k^{i*} denotes the diagonal imbedding of K_k^i in $\prod_{\alpha \in I_k} L_\alpha$. Consequently, $f \in \prod_{i=1}^t (\prod_{k=1}^{s_i} K_k^{i*})$ and hence f belongs to a finite product of fields. \square

Corollary 2.12. *A von Neumann regular ring R is a directed union of Artinian subrings if and only if $R \subseteq \mathcal{S}$.*

Corollary 2.13. *A von Neumann regular ring R is imbeddable in a directed union of Artinian subrings if and only if R has the same property.*

Example 2.14. (1) Let $\{p\} \cup \{q_i\}_{i \in \mathbb{N}^*}$ be an infinite family of distinct positive prime integers. Let $\mathcal{F} = \{GF(p)\} \cup \{GF(p^{q_i})\}_{i=1}^\infty$ be a family of finite Galois fields, and α an element such that $\alpha^2 = 1$ with $\alpha \notin GF(p)$. Let $\mathcal{L} = GF(p)(\alpha)$ be a simple algebraic extension of $GF(p)$. We denote φ_i the imbedding of $GF(p)$ in $GF(p^{q_i})$ for each $i \in \mathbb{N}^*$. Let $\varphi = \prod_{i=1}^\infty \varphi_i$, $T = \prod_{i=1}^\infty GF(p^{q_i})$ and $I = \bigoplus_{i=1}^\infty GF(p^{q_i})$ the direct sum ideal of T . We denote $GF(p)^* = \varphi(GF(p)) = R_o$ the diagonal imbedding of $GF(p)$ in T . Let $\mathcal{S}_1 = R_o + I$, since \mathcal{S}_1 is a subring of T and $\dim(\mathcal{S}_1) = 0$, the ring \mathcal{S}_1 is a von Neumann regular ring. We have shown in the proof of Theorem 2.11 that $\mathcal{F}(\mathcal{S}_1) = \{GF(p)\} \cup \{GF(p^{q_i}) : i \in \mathbb{N}^*\}$. From $\mathcal{F}(\mathcal{S}_1)$ we construct a direct union of Artinian subrings. Let $S_1 = GF(p^{q_1}) \times GF(p)^* \simeq GF(p^{q_1}) \times GF(p)$; \dots ; $S_i = GF(p^{q_1}) \times \dots \times GF(p^{q_i}) \times GF(p)^* \simeq GF(p^{q_1}) \times \dots \times GF(p^{q_i}) \times$

$GF(p)$. We have that $S_j \subset S_{j+1}$, for each positive integer $j \in \mathbb{N}^*$. Therefore, $S_1 = \bigcup_{i \in \mathbb{N}^*} S_i$ is an increasing union of Artinian subrings. We can generalize this example to the case where $\mathcal{F}(R) = \mathcal{F}$ has a partition $\mathcal{F} = \bigcup_{i=1}^n \mathcal{F}_i$ into finite nonempty subfamilies \mathcal{F}_i where all elements of \mathcal{F}_i have the same characteristic, and every element of \mathcal{F}_i has a common subfield.

(2) Let $R = GF(p)(\alpha) + \mathcal{J}$, the \mathcal{L} -subalgebra of $T_1 = \prod_{i=1}^{\infty} \mathcal{L}(\zeta_i)$ generated by the direct sum ideal $\mathcal{J} = \bigoplus_{i=1}^{\infty} \mathcal{L}(\zeta_i)$, where ζ_i is a p^q -primitive root of unity and q is a prime integer. For each $i \in \mathbb{Z}^+$, let $\phi_i : \mathcal{L} \rightarrow \mathcal{L}(\zeta_i)$ be the field-homomorphism taking α to ζ_i . Let $\phi = \{\phi_i\}_{i=1}^{\infty} : T_1 \rightarrow T_1$, a ring-homomorphism. Let $R_1 = \phi(R)$. Being isomorphic to R , the ring R_1 is a directed union of Artinian subrings. We observe that the element $\zeta = \{\zeta_i\}_{i=1}^{\infty} \in R_1$ which is not in \mathcal{S} but is contained in $\phi(\mathcal{S})$ (\mathcal{S} is the maximum among all subrings of T_1 that are directed union of Artinian subrings).

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